



# 2023 NSF Grants Conference: Directorate of Engineering (ENG) Programs

**Prakash Balan, PhD**

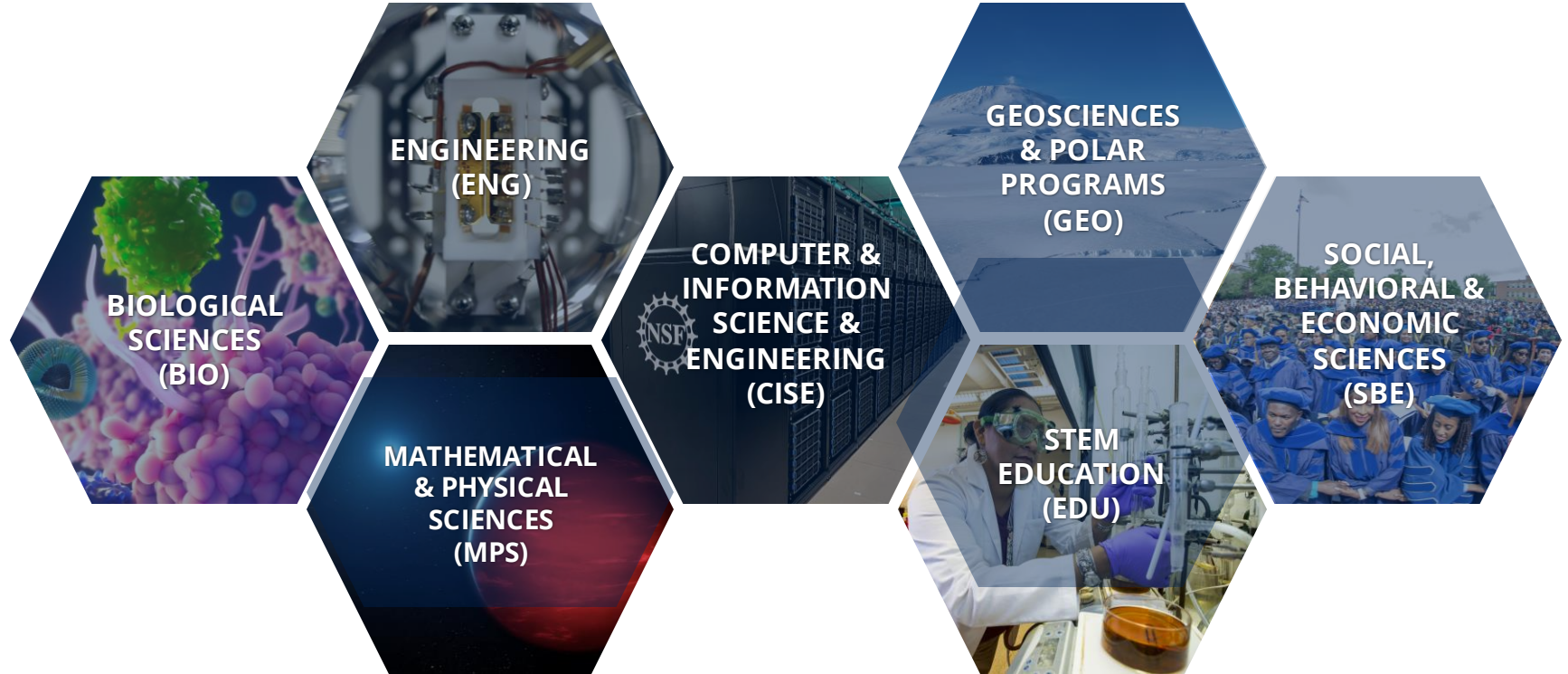
**Program Director, Division of Engineering Education and Centers (EEC)**

**December 6, 2023**

# A bird's eye view of the NSF

NSF's Vision:

*"A nation that leads the world in science, engineering research and innovation, to the benefit of all, without barriers to participation"*



**DIRECTORATE FOR TECHNOLOGY, INNOVATION AND PARTNERSHIP**

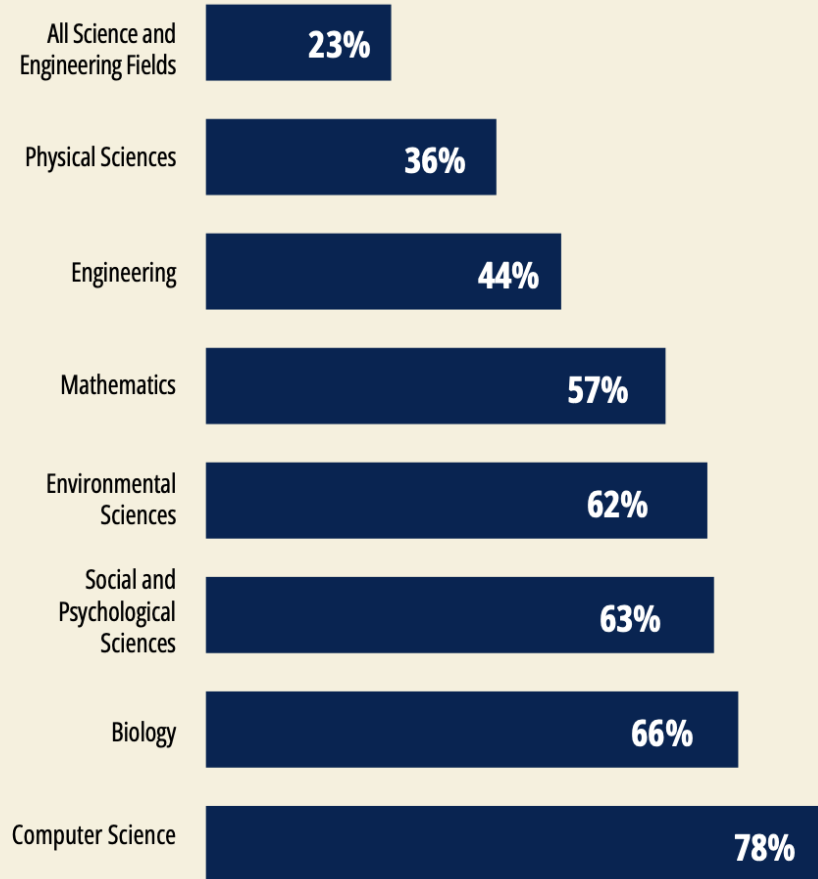
Integrative Activities

International Science & Engineering



NSF's  
funding  
impact on  
academic  
research

**NSF SUPPORT OF ACADEMIC BASIC RESEARCH IN  
SELECTED FIELDS** (as a percentage of total federal support)



Notes: Biology includes Biological Sciences and Environmental Biology. Biology and Psychological Sciences exclude National Institutes of Health. Source: NSF/National Center for Science and Engineering Statistics, Survey of Federal Funds for Research and Development, FY 2020.





**Scale:** Single investigator to mid-size teams to centers and networks



**Breadth:** Single discipline through convergence research



**Career stage:** Undergraduate to grad to postdoc to early to middle to later career



**Innovation cycle:** Basic research through translational research

You are at the heart of NSF's mission



# NSF Directorate for Engineering (ENG)

## ENG Office of the Assistant Director

### Emerging Frontiers and Multidisciplinary Activities (EFMA)

Emerging Frontiers in Research and Innovation

### Chemical, Bioengineering, Environmental, and Transport Systems (CBET)

Chemical process systems  
Engineering biology and health  
Environmental engineering and sustainability  
Transport phenomena

### Civil, Mechanical, and Manufacturing Innovation (CMMI)

Advanced manufacturing  
Engineering for civil infrastructure (NHERI)  
Operations and design  
Mechanics and engineering materials  
Dynamics, control, and cognition

### Electrical, Communications, and Cyber Systems (ECCS)

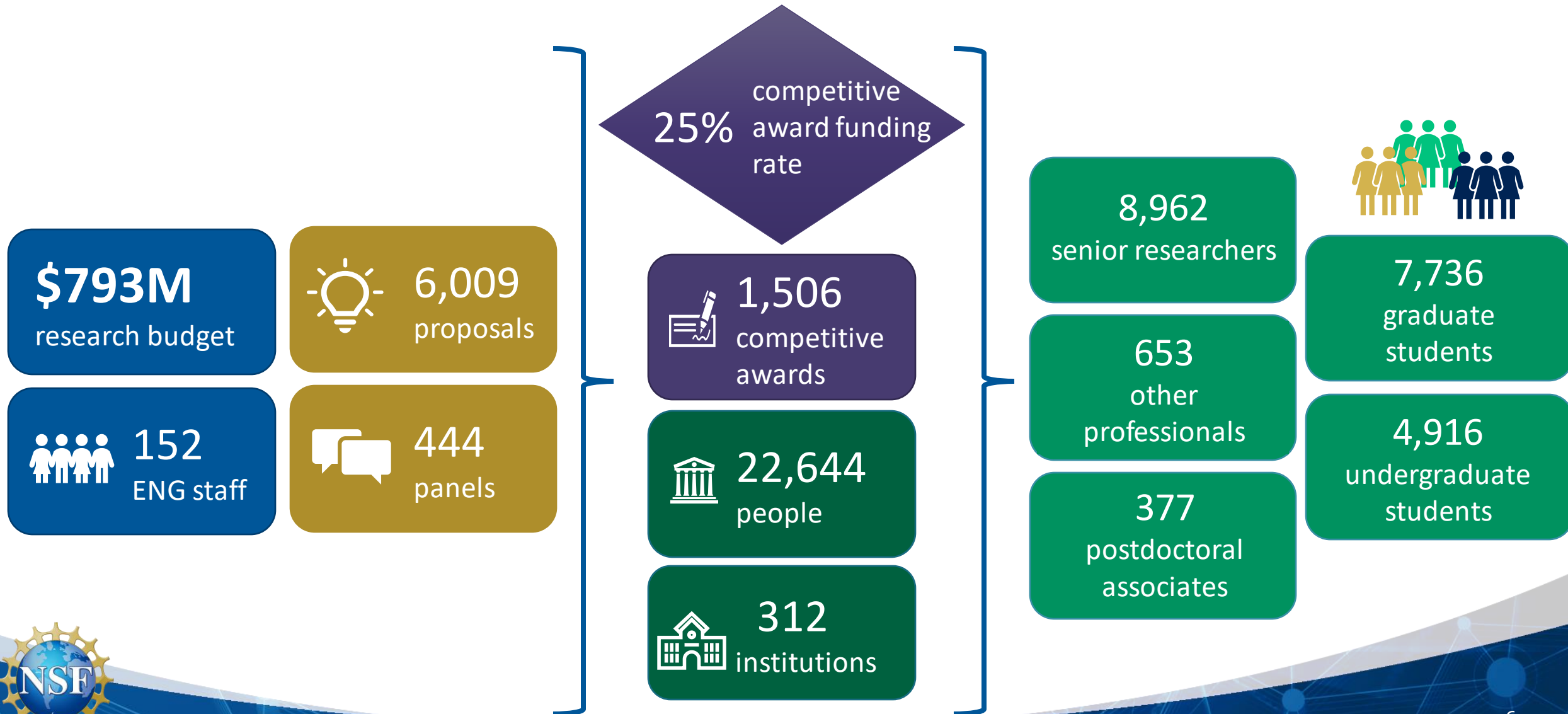
Communications, circuits, and sensing systems  
Electronics, photonics, and magnetic devices  
Energy, power, control, and networks

### Engineering Education and Centers (EEC)

Engineering centers  
Engineering education  
Engineering workforce development  
Broadening participation



# ENG by the Numbers: FY 2023



# NSF Engineering Strategic Plan

## MISSION

**To transform our world for a better tomorrow** by driving discovery, inspiring innovation, enriching education, and accelerating access

## VISION

**NSF Engineering will be a global leader** in identifying and catalyzing fundamental engineering research, innovation, and education.

## GOALS

### Propel

U.S. leadership in transformational engineering approaches to problems with societal impact

### Expand

opportunities for people

### Catalyze

purposeful partnerships

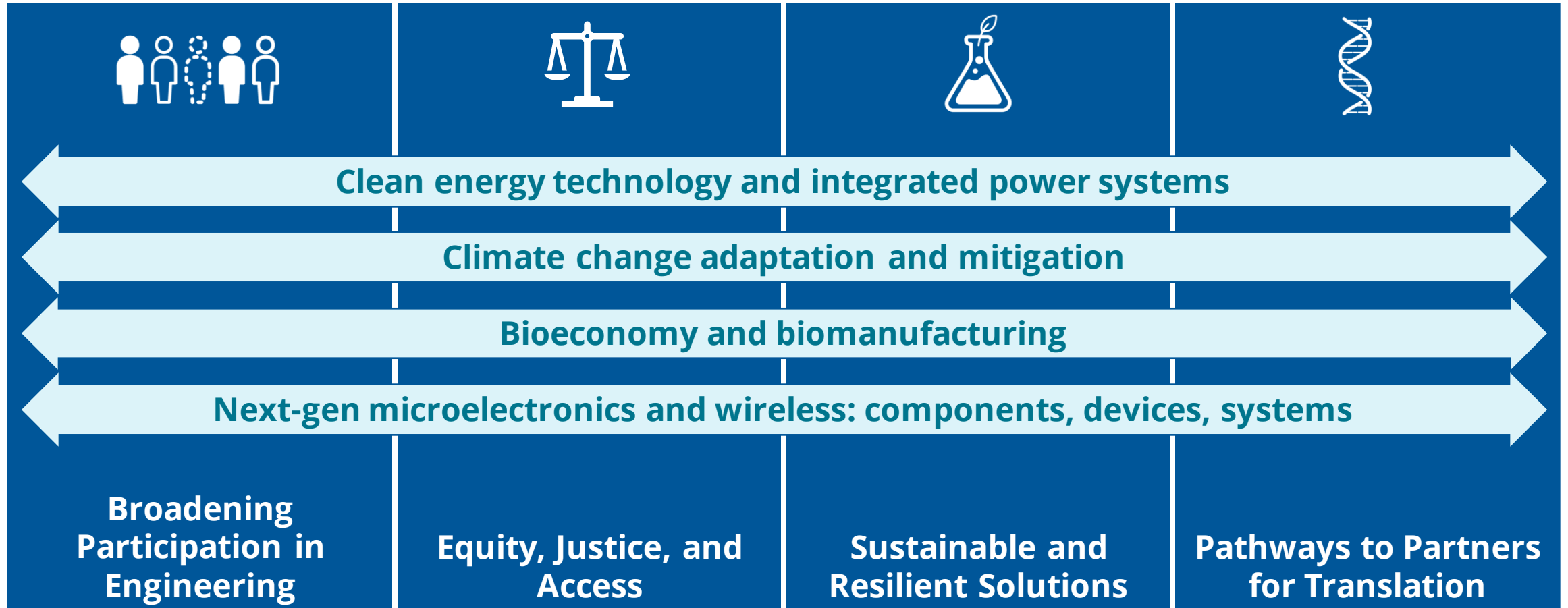


# Goal: Propel US Leadership in Transformational Engineering Approaches to Problems with Societal Impact





# Investing in Cross-ENG Strategic Priorities



Coordinate

Collaborate

Co-fund



# Clean Energy

## Clean Energy Technology RAISE, EAGER, and Conference Awards

- Conference proposals to initiate new collaborations (NSF 23-108)
- RAISE and EAGER proposals (NSF 23-109)
- Topics for both are:
  - Hydrogen, fusion, and/or geothermal technologies
  - Industrial heat and/or energy efficiency technologies
  - Offshore wind/wave technologies
  - Critical materials for clean energy technologies
  - Net-zero fuels and bioenergy
  - Education and workforce development



# Critical Aspects of Sustainability (CAS): Innovative Solutions to Climate Change

Supports basic research aimed at improving the sustainability of resources

- Reducing energy use and greenhouse gas emissions
- Energy innovations that mitigate climate change
- Enhancing GHG sequestration
- Accelerating strategies for climate change adaptation



**FY 2024: *Rolling submission via DCL NSF 21-124***



# Clean Energy

## CBET Fluid Dynamics Program

**PD 23-1443:**

<https://new.nsf.gov/funding/opportunities/fluid-dynamics>

**Partnership with Dept. of Energy (DOE) Wind Energy Technologies Office** opportunity on wind and ocean energy harvesting

- submissions accepted at any time

## NSF-DOE Geothermal INTERN – grad student traineeships

- NSF 23-024 submissions accepted at any time
- Support available for 15-20 grad students
- Up to \$55,000 for up to 6 months traineeship
- Hosts: Non-academic organization engaged in geothermal energy research and technology



# Climate Change Adaptation and Mitigation

## Civil Infrastructure research for climate change Mitigation and Adaptation (CLIMA)

- NSF 23-079 EAGER proposal submissions accepted at any time

## Planning Proposals to Catalyze Innovative and Inclusive Wildland Fire Science through Diverse Collaborations

- NSF 22-122 submissions accepted at any time



# Expanding Access to Research Infrastructure

**Mid-Scale Research Infrastructure** provides experimental research capabilities in the range between the Major Research Instrumentation (\$6M) and Major Facilities (\$100M) thresholds.

- Mid-scale RI-1 (<\$20M) [NSF 22-637](#)
- Mid-scale RI-2 (\$20-100M) [NSF 23-570 invited proposals due December 18, 2023](#)
- Dear Colleague Letter: Mid-scale RI — Engineering Conferences  
[NSF 22-075 continuous submission](#)



## Other ENG Infrastructure Investments:

### National Nanotechnology Coordinated Infrastructure – 16 user facility sites

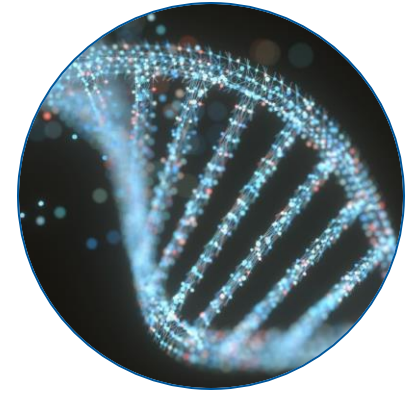
- [www.nnci.net](http://www.nnci.net)

### Natural Hazards Engineering Research Infrastructure – 8 experimental facilities, cyberinfrastructure

- [www.designsafe-ci.org](http://www.designsafe-ci.org)



# Bioeconomy: Biotechnology Research



## **Emerging Frontiers in Research and Innovation (EFRI): Biocomputing through EnGINeering Organoid Intelligence (BEGIN OI)**

- To advance the design, engineering, and fabrication of organoid systems that can process information dynamically while interfacing with non-living systems
- NSF 24-508 letters of intent (required) due January 17, 2024; full proposals due February 22, 2024

## **Bioinspired Design Collaborations to Accelerate the Discovery-Translation Process (BioDesign)**

- To inform and generate new directions for engineered systems, devices, materials or products
- NSF DCL 23-055 deadlines vary by program

## **Sentinel Systems that Detect, Recognize, Actuate, and Mitigate Emergent Biological Threats (DREAM Sentinels)**

- For sensing and responding to known and unknown biological threats
- NSF DCL 22-077 continuous submission



# Future Manufacturing Research

## Future Manufacturing

- For manufacturing that either does not exist today or exists only at such small scales that it is not viable
- FY 2020-2023: ~\$135 million in new projects
  - Biomanufacturing
  - Cyber-manufacturing
  - Eco-manufacturing
- FY 2023: \$35 million in 21 projects



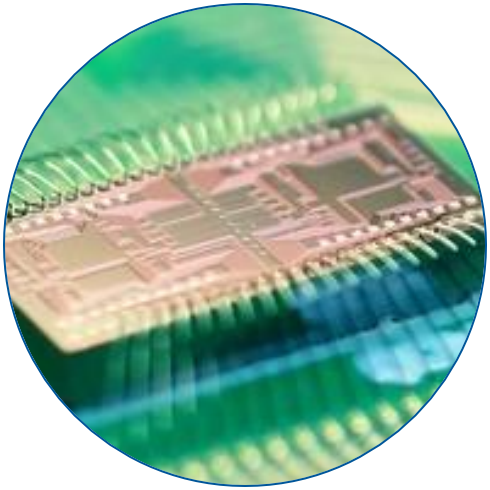
## Next Generation Supply Chains

- NSF DCL 23-080 submissions accepted at any time





# Next-Gen Microelectronics: Semiconductor Lab to Fab



## **Supplements for Access to Semiconductor Fabrication (ASF)**

- NSF DCL 22-113 proposals accepted at any time

## **Advanced Chip Engineering Design and Fabrication (ACED Fab)**

- NSF 22-636 proposals under review

# Next-Gen Microelectronics: Quantum Technologies

## Dear Colleague Letter: Quantum Manufacturing

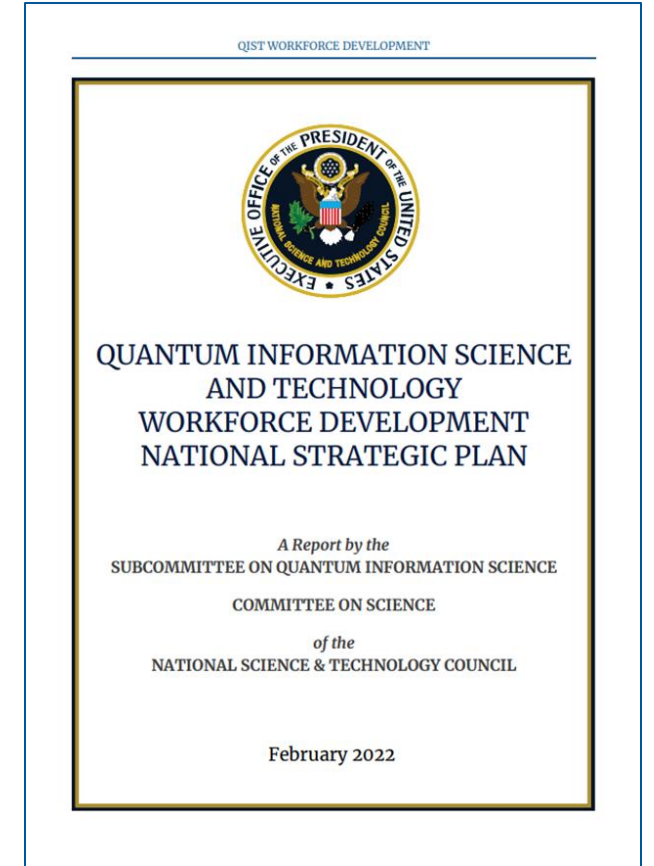
- NSF 22-074 continuous submission of EAGER and standard research proposals

## Expanding Capacity in Quantum Information Science and Engineering NSF (ExpandQISE)

- NSF 23-551 - \$38 million from across NSF in 22 projects in FY 2023....

## National Quantum Virtual Lab

- NSF 23-604 proposals due November 30, 2023



# Goal: Expand Opportunities for People



# Broadening Participation in Engineering: **NSF 22-514**

- Planning and Conference Grants: *accepted anytime*
- Research in Broadening Participation in Engineering: *accepted anytime*
- Inclusive Mentoring Hubs: *target date: 3<sup>rd</sup> Wed in Nov*
  - Connect and dynamically build networks for racial and ethnic groups not sufficiently represented
  - Could engage students, faculty, academic leaders, postdoctoral and career transitioning researchers, small businesses and industry professionals, K-12 educators, or others
- Centers for Equity in Engineering: *target date: 3<sup>rd</sup> Wed in Nov*
  - Catalyze culture change in engineering colleges to create equitable and inclusive practices that recruit and retain a diverse community of students



# Revolutionizing Engineering Departments (RED)

The goal is to catalyze revolutionary changes to the education of the next generation of engineers while expanding the reach of changes that have proven effective.

- **NSF 23-553** focuses on: “RED Two-Year”
  - For radically new approaches among two-year institutions to expand pathways to engineering and engineering technology education
- Watch the recorded webinar:  
<https://new.nsf.gov/funding/opportunities/iuseprofessional-formation-engineers>



Image courtesy University of San Diego

# Early Career Support

- Engineering Postdoctoral Fellowship Program
  - Places early career PhDs in engineering fields in university research postdoctoral fellowships
  - **Contact: [efellows.asee.org](https://www.asee.org/efellows)**
- Engineering Research Initiation
  - Support for investigators who have yet to receive research funding from Federal Agencies and who are at non-R1 institutions.
  - ***NSF 22-595 proposals under review***
- Faculty Early Career Development Program (CAREER)
  - Annual ENG CAREER proposal-writing workshop
  - ***NSF 22-586 deadline July 24, 2024***



# Workforce Development Opportunities

**REU:** Research Experiences for **Undergraduates** sites and supplements: NSF 23-601  
**deadline August 21, 2024**

**RET:** Research Experiences for **Teachers** sites and supplements: NSF 24-503 **deadline  
January 29 and October 9, 2024**

**VRS:** **Veterans** Research Supplement Program: Veterans - Undergraduates, grad students, teachers. NSF 23-161 **accepted anytime**

**START** Supplements: Skills Training in Advanced Research and Technology for **Community College** students/faculty in NSF Centers: IUCRC and ERC: [nsf.gov/START](https://www.nsf.gov/START), **accepted anytime**

**REM** Research Experiences and Mentoring Supplement NSF 23-012: cohorts of **high school students/teachers**, undergraduates, faculty, and veterans. **accepted Aug-Nov each year**

**INTERN** supplements: Non-academic Research Internships for **Graduate Students**  
[nsf.gov/INTERN](https://www.nsf.gov/INTERN) **accepted anytime**



# Today's job market for graduate students

57% of Ph.D. and 81% of master's STEM graduates start careers in **non-academic jobs**

**83%** of **Ph.D. Engineers** start careers in industry or government

**NSF INTERN** program was created to **help better prepare** NSF-supported grad students for professional careers

**NSF supports a huge talent pool of 40,000+ Graduate students!**



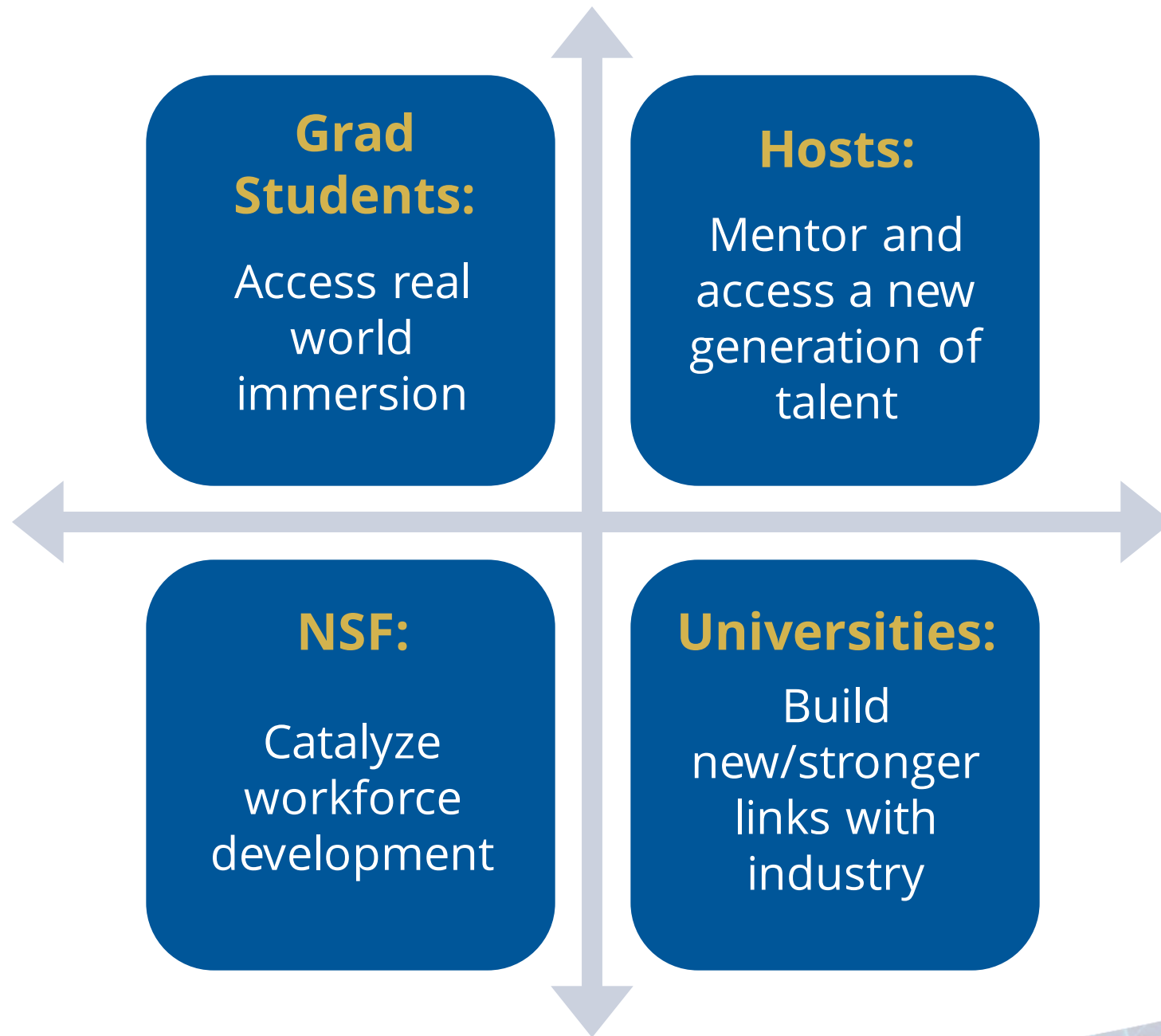


# **NSF INTERN :** Immersive Exposure to hard and soft skills!

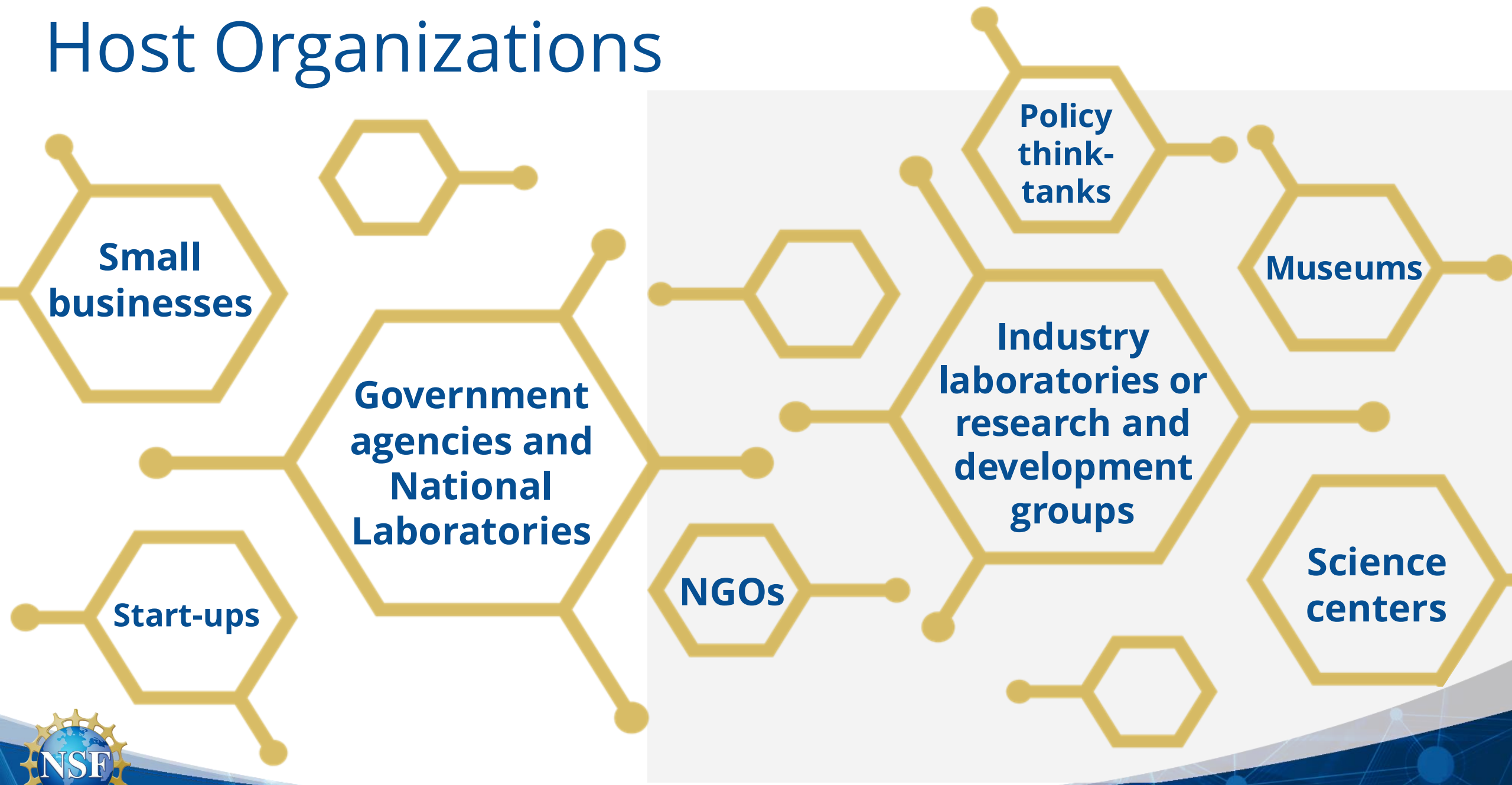
- Technical training and skills
- Innovation and entrepreneurship
- Business and economics
- Strategic thinking
- Working in diverse teams
- Project and time management
- Communication written & oral



# INTERN Benefits



# Host Organizations



# Supplements for Non-Academic Research Internships for Graduate Students (INTERN)

- Up to \$55K for up to 6 months for internship with host.
- International students OK.
- Funds: travel, tuition and fees, health insurance, stipend, temporary relocation costs, materials + faculty co-mentoring.
- 250+ INTERNs supported each year

Governed by Intellectual Property (IP) agreement between university and Host. **NSF waives its IP rights.**



# A growing list of INTERN opportunities...

NSF has several INTERN funding opportunities of interest to engineers

1. NSF 21-013 The broad opportunity ...“*the* INTERN DCL”
2. NSF 21-029 : INTERN Opportunities at Air Force Research Laboratory (AFRL)
3. NSF 23-024 : Geothermal Energy INTERN – partnered with DOE

Watch this page for future announcements from the INTERN program:

[www.nsf.gov/INTERN](http://www.nsf.gov/INTERN)



# Goal: Catalyze Purposeful Partnerships



# GOAL: Grant Opportunities for Academic Liaison with Industry

- Basic research with strong academic-industrial collaboration
- **Available NSF-wide** as a specialized type of Proposal (or Supplement) that can be submitted to most programs
- Typical grant is 3-5 years and \$100-150K per year.
- Requires an industrial partner (industry co-PI)
- **Up to 1/3<sup>rd</sup> funds for eligible small business partner**
- Requires intellectual property agreement completed in advance of funding

**NEW  
feature!**

## **Faculty & Students:**

Industrial collaboration, education and training

## **Industry:**

Access top university research capacity and talent

## **NSF:**

Catalyze transformative research & collaborations

## **Universities:**

Build pathways to new/stronger links with industry



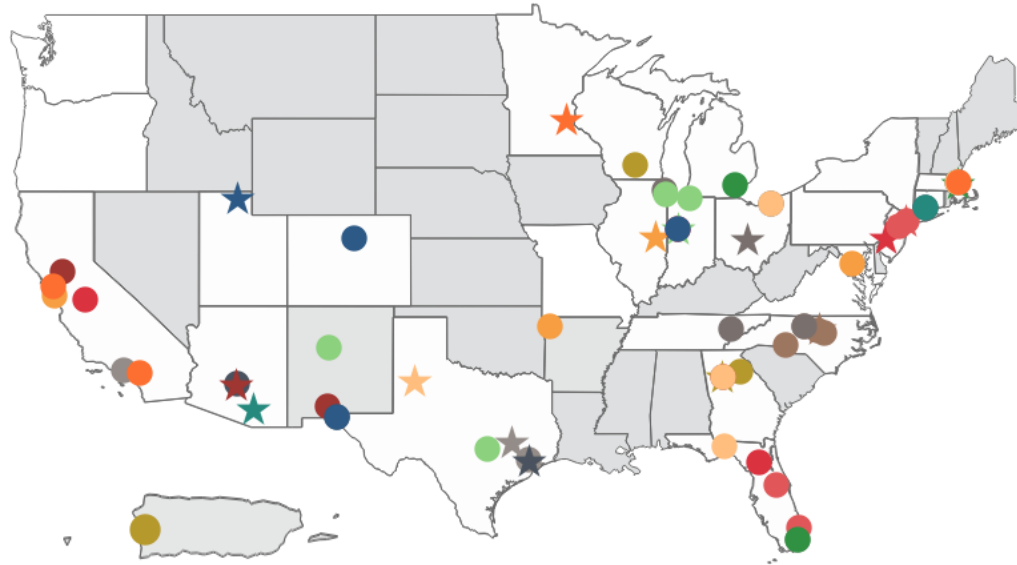
# NSF Engineering Research Centers

The ERC program supports broad, multidisciplinary high-impact and high-risk/high-payoff engineering research.

Gen-4 ERCs emphasize integrating convergent research, engineering workforce development, a culture of diversity and inclusion, value creation and innovation for societal impact.

- *NSF 22-580 competition underway*
- Investment of up to \$156 million for up to 6 awards in summer 2024

15 ERCs involve 42 unique institutions and 241 industrial participants in FY 2022.



ERCs span 33 distinct jurisdictions, including Puerto Rico and Washington, DC, with 5 EPSCoR jurisdictions, and include 10 MSIs in FY 2022.

## 1985 through 2023

79	ERCs
250	Spinoffs
1,400	Licenses
2,740	Invention disclosures
950	Patents
190	Textbooks
14,900	Students





# FY 2022 Engineering Research Centers

## ERC for Advancing Sustainable and Distributed Fertilizer Production



- Enables resilient and sustainable food production by developing efficient, modular, and distributed technology for capturing, recycling, and producing decarbonized nitrogen-based fertilizers.



## ERC for Precision Microbiome Engineering

- Creates microbiome technologies that address challenges at the interface of human health and the built environment.

## ERC for Hybrid Autonomous Manufacturing Moving from Evolution to Revolution



- Accelerates the development and deployment of intelligent, autonomous manufacturing systems, enabling mass customization in local production facilities.



## ERC for Smart Streetscapes

- Forges livable, safe, and inclusive communities through real-time, hyperlocal technologies for streets and their surroundings



# Industry-University Cooperative Research Centers (IUCRC)

*Execute cutting-edge **pre-competitive** basic research in science & engineering to drive innovation and societal impact*



### Government

NSF catalyzes partnership  
Other government entities fund research relevant to their needs



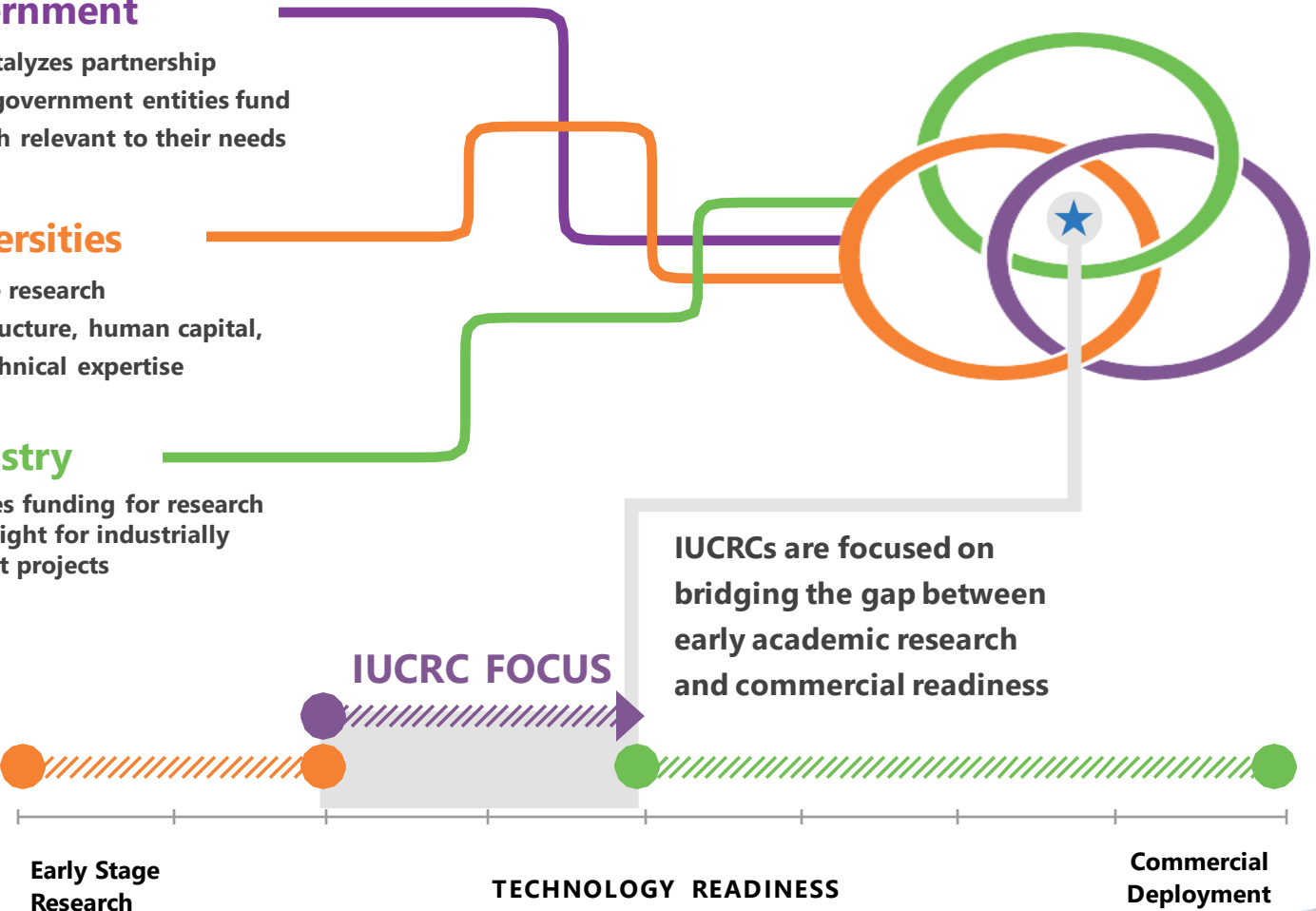
### Universities

Provide research infrastructure, human capital, and technical expertise



### Industry

Provides funding for research and insight for industrially relevant projects



# IUCRC – Nationwide Portfolio

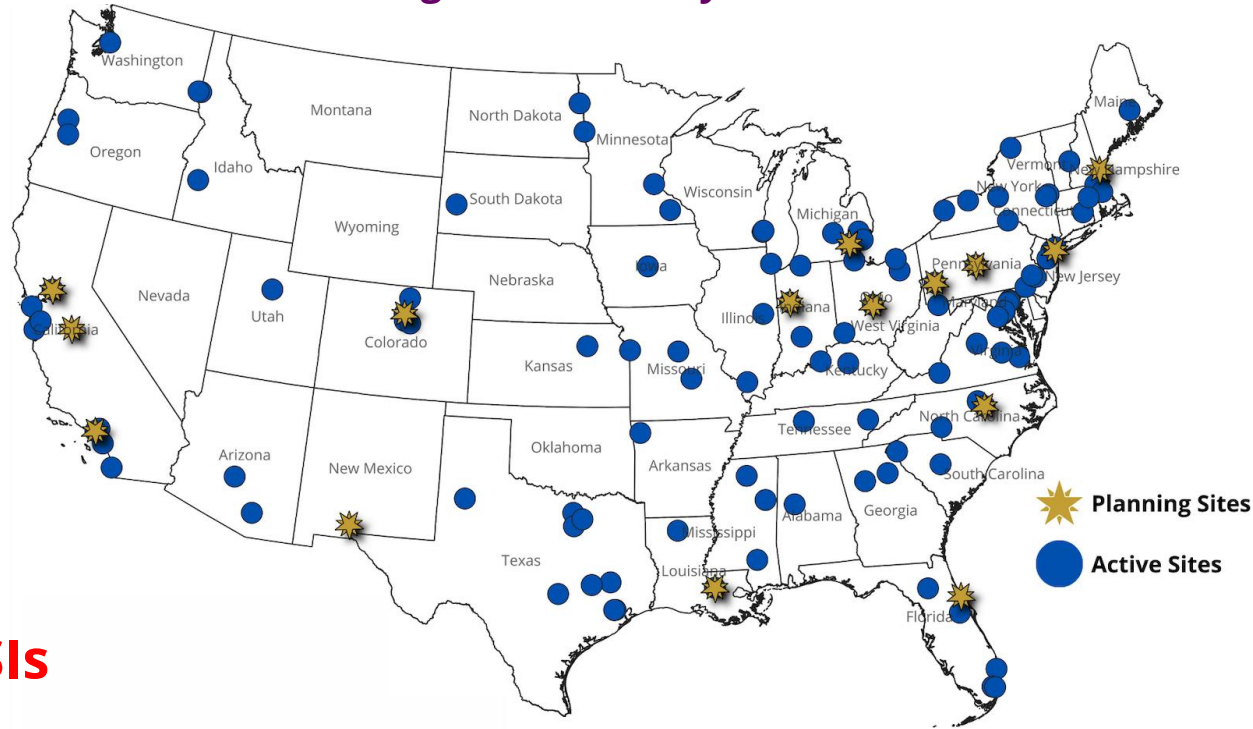
**~ 500 – 700**

**Center-trained students  
graduate each year**

**800+  
Member  
Organizations**

**1180+  
Memberships**

**20+  
Federal Agencies**



**80+  
Active  
Centers**

**120+  
U.S.  
Universities**

**24  
EPSCoR  
Sites**

**15  
MSIs**

**Members ~\$60M  
NSF ~\$20M**

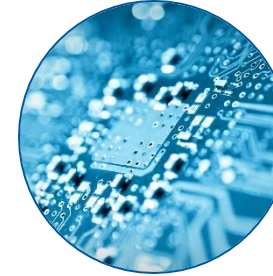
**\*Source: NSF award 1732084 survey data**



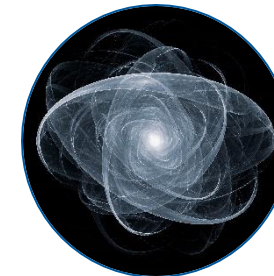
# IUCRC – Broad Areas and Research Themes

- **Advanced Electronics and Photonics**
- **Advanced Manufacturing**
- **Advanced Materials**
- **Biotechnology**
- **Civil Infrastructure Systems**
- **Energy and Environment**
- **Forensic science**
- **Geosciences**
- **Health and Safety**
- **IT, Communication, and Computing**

Wide open to  
transformative  
new center  
ideas!



**Center for Advanced Semiconductor Chips with Accelerated Performance (ASAP)**, University of Illinois at Urbana-Champaign



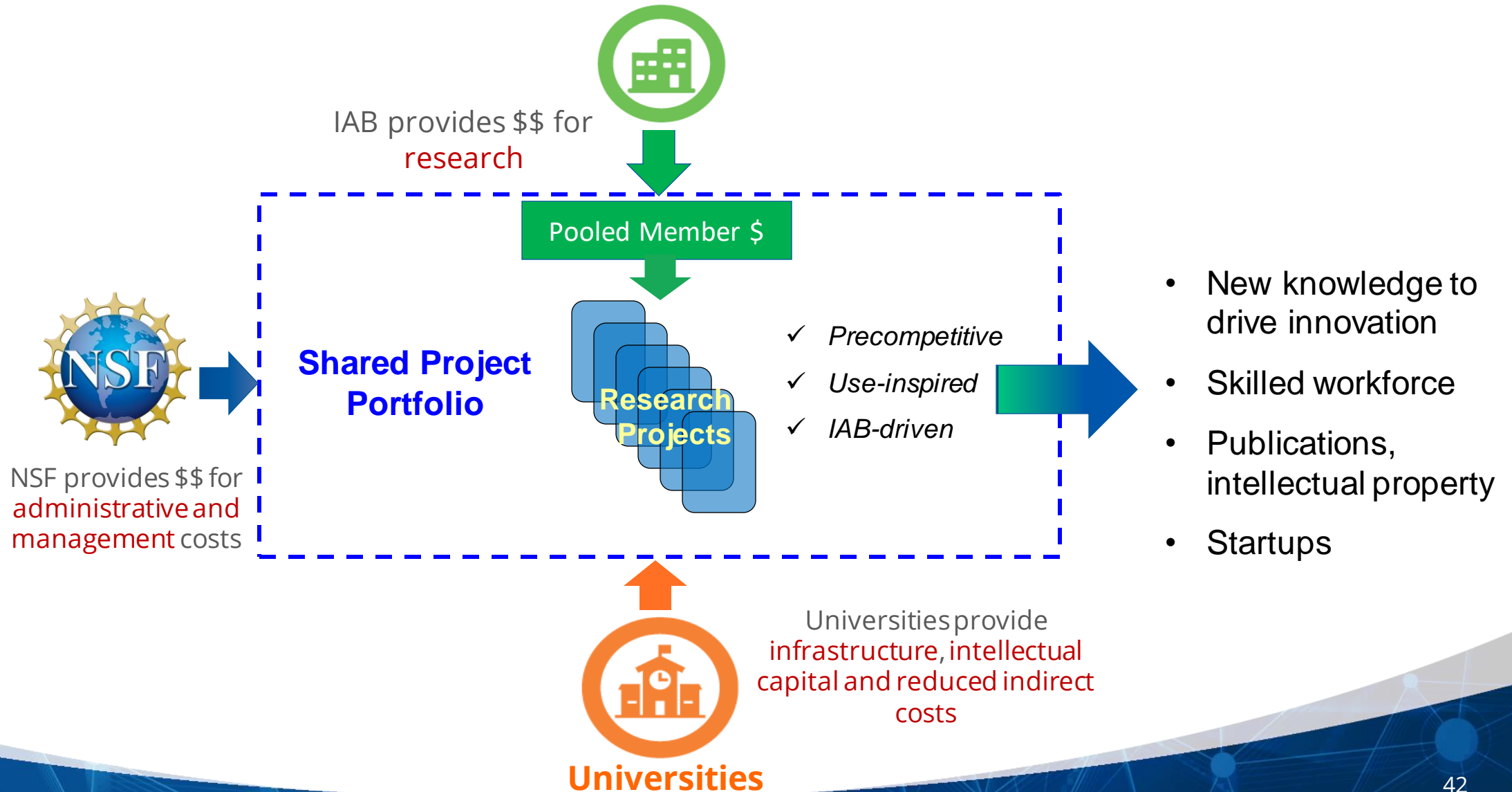
**Center for Quantum Technologies**, Purdue University, Indiana University, and University of Notre Dame



**Center for Soil Technologies**, University of Southern California, Iowa State, University of Connecticut, and University of Washington

# IUCRC Model

Industry/Government Members  
Industry Advisory Board (IAB)



# An IUCRC example: AMBIC: Advanced Mammalian Biomanufacturing Center

The mission of **AMBIC** is to develop **enabling technologies**, knowledge, design tools and methods that apply and integrate genome-based and systems technologies to fast-track upstream **biomanufacturing** processes and advances

## Universities Involved



Annually  
NSF: \$750K  
Members: ~ \$1.8 M

## Center Members



# A few key takeaway messages...

- **Learn** about what has been funded in your area of interest: [nsf.gov/awardsearch](https://www.nsf.gov/awardsearch)
- **Explore** open opportunities [nsf.gov/funding](https://www.nsf.gov/funding)
- **Contact** the program director early and seek input.
  - Read funding announcements and start with an introductory email with a succinct description of your need for NSF support
- **Contribute** your knowledge and expertise as a Reviewer
- **Share** your suggestions for new initiatives/programs that NSF should consider



# Volunteer to Review ENG Proposals!



- Learn about leading-edge work
- Understand NSF merit review
- Network with other experts
- Serve the STEM community





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[\*\*www.nsf.gov/eng/connect.jsp\*\*](https://www.nsf.gov/eng/connect.jsp)



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# We seek to Transform our world for a better tomorrow!

*by driving discovery, inspiring  
innovation, enriching education,  
and accelerating access*

- Propel transformational engineering impact
- Expand opportunities for people
- Catalyze purposeful partnerships

## Thank you!

Prakash G. Balan, [pbalan@nsf.gov](mailto:pbalan@nsf.gov)

