



Research.gov Update

Spring 2024 NSF Grants Conference
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Presenter



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Agenda

- NSF Proposal Preparation
 - Account Management Requirements
 - How Time Zone Is Determined for Proposal Submission
- Research.gov Proposal Preparation Features and Demo
- Foreign Financial Disclosure Report Training Resources & Outreach Opportunities
- Grants.gov Proposal Submission
- How Proposers Check Submitted Proposal Status in Research.gov
- NSF Project Reports
- Research.gov Proposal Preparation Training Resources
- Upcoming System Enhancements for Reviewers
- Information Resources & Contacts for Questions



Proposal Preparation



Before You Can Prepare Your Proposal in
Research.gov or Grants.gov



Account Management Requirements

- Organizations must be registered with NSF to submit proposals to NSF in Research.gov or Grants.gov
 - Before a **new** organization can register with NSF, it must first register in the System for Award Management (SAM) and obtain a SAM-issued Unique Entity ID (UEI)
 - [Register a New Organization with NSF](#) video tutorial
- **New** Principal Investigators (PIs) must register for an NSF account and request a PI role
 - [Register for a new NSF Account](#) video tutorial
 - [Add a New Role](#) video tutorial
 - PI role request approved by organization's Administrator
 - Requirement also applicable to Grants.gov proposers. Grants.gov proposers must have both an NSF account (NSF ID) and a Grants.gov account
- Users with **existing** PI role or other roles (e.g., Other Authorized User)
 - Confirm account is active and does not have any account issues at least 3 weeks prior to a proposal due date
 - IT Service Desk assistance is required for account reconciliation and can take up to 3 business days



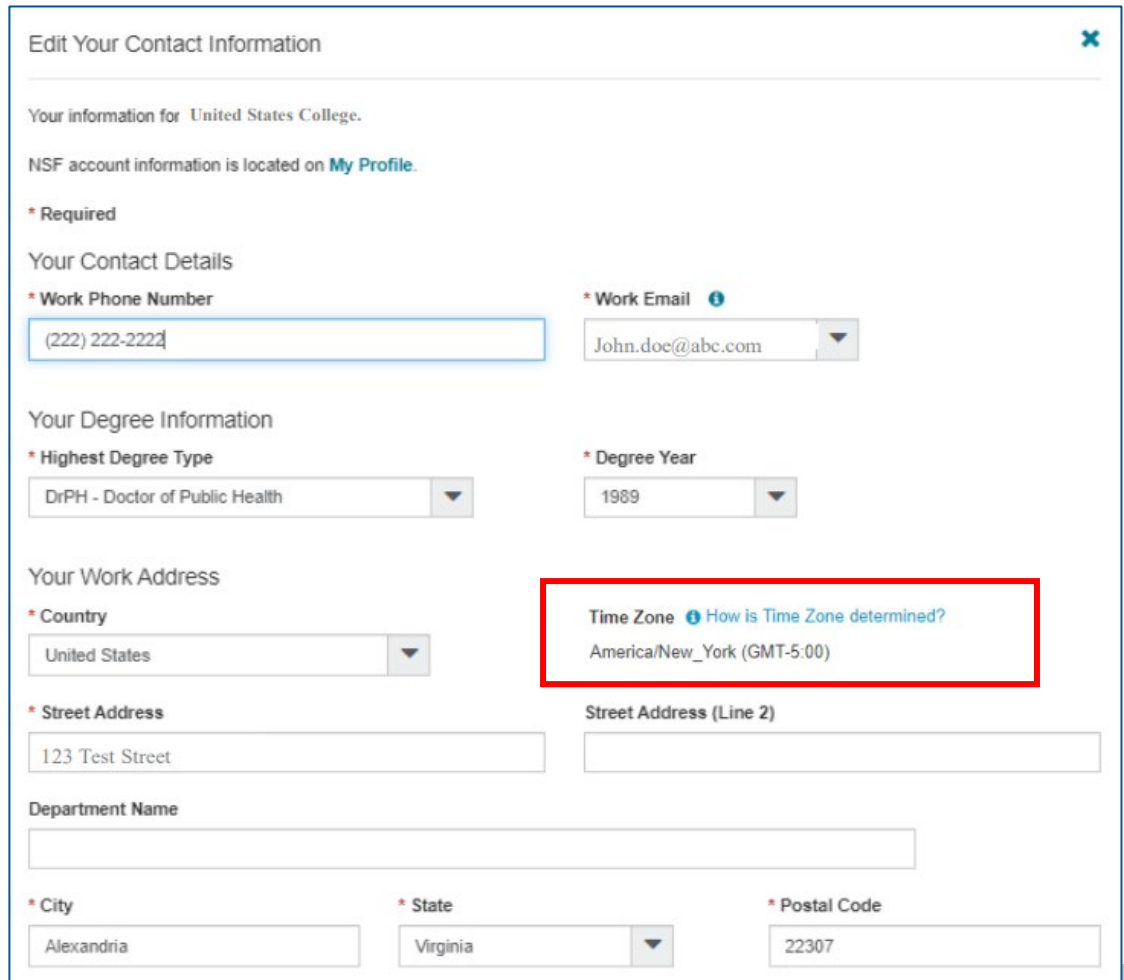
How Time Zone Is Determined for Proposal Submission



How Time Zone Is Determined for Proposal Submission

- The 5:00 PM submission time is determined by the **submitting organization's time zone**, not the PI's individual working location
- PIs can view their organization's time zone by signing into Research.gov
 - Select My Profile at the top of the screen
 - Select View My Roles
 - Choose the organization
 - Select Edit Your Contact Info

Note: A request for a proposal file update will automatically be accepted if submitted prior to the due date



Edit Your Contact Information

Your information for United States College.

NSF account information is located on [My Profile](#).

* Required

Your Contact Details

* Work Phone Number
(222) 222-2222

* Work Email
John.doe@abc.com

Your Degree Information

* Highest Degree Type
DrPH - Doctor of Public Health

* Degree Year
1989

Your Work Address

* Country
United States

Time Zone [How is Time Zone determined?](#)
America/New_York (GMT-5:00)

* Street Address
123 Test Street

Street Address (Line 2)

Department Name

* City
Alexandria

* State
Virginia

* Postal Code
22307



How Time Zone Is Determined for Proposal Submission

- Time zone for Proposed Postdoctoral Fellows is determined by the time zone selected during the initial role request
- When a Proposed Postdoctoral Fellow role is created, an institution record is created as well. This is what NSF bases the time zone on for proposal submission
- **Changing the Proposed Postdoctoral Fellow’s work address will not change their time zone**
- To update time zone, the Proposed Postdoctoral Fellow must contact the NSF IT Service Desk at 800-381-1532 or rgov@nsf.gov for assistance
- Proposed Postdoctoral Fellows can see their time zone in Research.gov by selecting “Print Proposal” from the Proposal Actions left navigation bar and viewing the Cover Sheet

Note: A request for a proposal file update will automatically be accepted if submitted prior to the due date

COVER SHEET FOR PROPOSAL TO THE NATIONAL SCIENCE FOUNDATION					
PROGRAM ANNOUNCEMENT/SOLICITATION NO./ DUE DATE NSF 23-555 10/06/2023			<input type="checkbox"/> Special Exception to Deadline Date Policy		FOR NSF USE ONLY NSF PROPOSAL NUMBER
FOR CONSIDERATION BY NSF ORGANIZATION UNIT(S) (Indicate the most specific unit known, i.e. program, division, etc.) EES - Centers for Rsch Excell in S&T					
DATE RECEIVED	NUMBER OF COPIES	DIVISION ASSIGNED	FUND CODE	UEI (Unique Entity Identifier)	FILE LOCATION
	1	11060000 EES	9131		
EMPLOYER IDENTIFICATION NUMBER (EIN) OR TAXPAYER IDENTIFICATION NUMBER (TIN) Not Shown		SHOW PREVIOUS AWARD NO. IF THIS IS <input type="checkbox"/> A RENEWAL <input type="checkbox"/> AN ACCOMPLISHMENT-BASED RENEWAL		IS THIS PROPOSAL BEING SUBMITTED TO ANOTHER FEDERAL AGENCY? YES <input type="checkbox"/> NO <input checked="" type="checkbox"/> IF YES, LIST ACRONYM(S)	
NAME OF ORGANIZATION TO WHICH AWARD SHOULD BE MADE Alphaman, Alan G			ADDRESS OF AWARDEE ORGANIZATION, INCLUDING 9 DIGIT ZIP CODE 4201 Wilson Blvd Arlington, VA 22203 US		
AWARDEE ORGANIZATION CODE (IF KNOWN)					
NAME OF PRIMARY PLACE OF PERF			ADDRESS OF PRIMARY PLACE OF PERF, INCLUDING 9 DIGIT ZIP CODE		
IS AWARDEE ORGANIZATION (Check All That Apply)		<input type="checkbox"/> SMALL BUSINESS <input type="checkbox"/> FOR-PROFIT ORGANIZATION	<input type="checkbox"/> MINORITY BUSINESS <input type="checkbox"/> WOMAN-OWNED BUSINESS	<input type="checkbox"/> IF THIS IS A PRELIMINARY PROPOSAL THEN CHECK HERE	
TITLE OF PROPOSED PROJECT Postdoctoral Fellowship: CREST-PRP: Test					SHOW LETTER OF INTENT ID IF APPLICABLE
REQUESTED AMOUNT \$ 170,000	PROPOSED DURATION (1-60 MONTHS) 24 months	REQUESTED STARTING DATE	SHOW RELATED PRELIMINARY PROPOSAL NO. IF APPLICABLE		
THIS PROPOSAL INCLUDES ANY OF THE ITEMS LISTED BELOW					
<input checked="" type="checkbox"/> TYPE OF PROPOSAL Postdoctoral Fellowship		<input type="checkbox"/> HUMAN SUBJECTS Exemption Subsection _____ or IRB App. Date _____		Human Subjects Assurance Number _____	
<input checked="" type="checkbox"/> COLLABORATIVE STATUS Non-Collaborative		<input type="checkbox"/> FUNDING OF INT'L BRANCH CAMPUS OF U.S. IHE		<input type="checkbox"/> FUNDING OF FOREIGN ORGANIZATION OR FOREIGN INDIVIDUAL	
<input type="checkbox"/> BEGINNING INVESTIGATOR		<input type="checkbox"/> PROPRIETARY & PRIVILEGED INFORMATION		<input type="checkbox"/> INTERNATIONAL ACTIVITIES: COUNTRY/COUNTRIES INVOLVED _____	
<input type="checkbox"/> DISCLOSURE OF LOBBYING ACTIVITIES		<input type="checkbox"/> HISTORIC PLACES		<input type="checkbox"/> POTENTIAL LIFE SCIENCES DUAL USE RESEARCH OF CONCERN	
<input type="checkbox"/> LIVE VERTEBRATE ANIMALS IACUC App. Date _____		<input type="checkbox"/> OFF-CAMPUS OR OFF-SITE RESEARCH			
PHS Animal Welfare Assurance Number _____					

Listed address in the “Address of Awardee Organization, Including 9 Digit Zip Code” field on the Cover Sheet is what is used in Research.gov to determine the time zone for the 5:00 p.m. submitting organization’s local time submission deadline




Research.gov Proposal Preparation Features and Demo



Research.gov Proposal Preparation Features

Features to help reduce proposal preparation administrative burden and to minimize return without review proposals due to some formatting issues:

- Intuitive and dynamic interfaces that provide only relevant proposal preparation options
- Inline help features
 - Information tool tips indicated by the blue information icon: 
 - Links labeled "key" in column headers which open a window to explain the statuses used in the column
 - Links to applicable [Proposal & Award Policies & Procedures Guide](#) (PAPPG) sections
 - Links to Frequently Asked Questions (FAQs)
 - Links to video tutorials
- Fast document uploads and immediate feedback within each proposal section and for each document upload
- Expanded [automated compliance checking](#) utilizing error messages (stopping proposal submission) and warning messages (allowing proposal submission)
 - Currently ~200 automated compliance checks in Research.gov for full proposals
 - Specific, actionable error and warning messages
- PDF uploads are not altered



Recent System Enhancements to Support the Revised PAPPG (24-1)

- New Foreign Financial Disclosure Report (FFDR) portal (PAPPG [Chapter VII.D.3](#))
- Implementation of the Common Forms for the Biographical Sketch and Current and Pending (Other) Support (PAPPG [Chapter II.D.2.h.](#))
- New Synergistic Activities document for senior/key personnel (PAPPG [Chapter II.D.2.h\(iv\)](#))
- New Malign Foreign Talent Recruitment Programs certifications (PAPPG [Chapter II.D.1.e\(ii\)](#) and PAPPG [Chapter II.D.1.d\(ix\)](#))
- Expanded Mentoring Plan requirement (PAPPG [Chapter II.D.2.i\(i\)](#))
- New Research Opportunity Awards for Predominantly Undergraduate Institutions (ROA-PUI) proposal type (PAPPG [Chapter II.F.14.](#))
- New Tribal Nations checkbox on the Cover Sheet and upload section for proposals that may impact the resources of a Tribal Nation (PAPPG [Chapter II.E.10.](#))
- Three new products in annual and final annual project reports
- New Individual Development Plan certification as part of annual reporting process by PIs/co-PIs (PAPPG [Chapter VII.D.1.](#))



Research.gov Proposal Preparation Demo

- Proposal Setup Wizard
- Proposal Main Page
- Document Upload and Compliance Error/Warning Messages
- Senior/Key Personnel Documents
- Budget
- Highlights of Recent System Enhancements to Support PAPPG 24-1



Note: See the appendix for screenshots and additional information.

FFDR System-generated Email Notifications for Report Submission

- Foreign Financial Disclosure Report (FFDR) Preparer(s) for Institutions of Higher Education (IHEs) that received a new award or a funding amendment on an existing award between May 20, 2024, and June 30, 2024, will receive an email notification for submitting the annual FFDR during the **July 1 – July 31, 2024, reporting period** for Year 1
 - **Initial Notification Email:** Will be sent to all FFDR Preparers when the reporting period opens on **July 1**
 - **Reminder Email:** Will be sent to all FFDR Preparers for IHEs with unsubmitted FFDR on **July 24**
 - **Grace Period Reminder Emails (Year 1 only):** Will be sent to all FFDR Preparers for IHEs with unsubmitted FFDR on **August 1, August 14, and August 28**

Example Initial Notification Email – July 1st distribution

Subject: NSF - Action Required: Submission of Foreign Financial Disclosure Report (FFDR)

Each "recipient institution of higher education" must annually report all "**current financial support**" associated with a foreign country of concern (i.e., People's Republic of China, Islamic Republic of Iran, Democratic Republic of Korea, or Russian Federation). Negative reports are also required to be submitted. For more details, see [Foreign Financial Disclosure Report \(PAPPG\)](#).

While the PAPPG and Award Terms and Conditions stipulate that the Foreign Financial Disclosure Report (FFDR) is due on July 31, 2024, NSF is providing a one-time grace period for the initial report until **September 3, 2024 at 5:00 p.m.** (based on the submitting organization's local time).

Organization: National Science Foundation

SAM Legal Business Name: NATIONAL SCIENCE FOUNDATION

Unique Entity Identifier (UEI): XXXXXX123456

Reporting Period: July 1, 2023 - June 30, 2024

The report for this organization must be completed by an individual with a 'Foreign Financial Disclosure Report (FFDR) Preparer' role in Research.gov. All Authorized Organizational Representatives (AORs) have this role by default, but may request the role be reassigned to another individual (see instructions below).

Access the report

Complete your report on the [Foreign Financial Disclosure Report](#) page (sign-in required)

Reassign Foreign Financial Disclosure Report (FFDR) Preparer Role

- 1) [Sign into Research.gov](#)
- 2) Select 'My Profile,' then 'View My Roles,' then 'See Org Contact(s)' for a listing of Organizational Administrators
- 3) Contact an administrator directly for assistance

Need Help?

You can find helpful Research.gov information by selecting Help in the top right-hand corner of Research.gov.

For additional assistance, please contact the IT Service Desk at 1 (800) 381-1532 or rgov@nsf.gov.

Please DO NOT REPLY TO THIS MESSAGE, as this email was sent from an address that cannot accept incoming messages.



FFDR Training Resources

- **FFDR Demo Site in Research.gov**
 - Available until 8:00 PM ET on June 28, 2024
 - Must have FFDR Preparer role to access demo site in Research.gov
 - All FFDR functionality accessible. However, report submission and system-generated emails are disabled
 - Demo data will be deleted prior to opening of reporting period on July 1, 2024
- **Research.gov [About Foreign Financial Disclosure Report](#) page**
 - Frequently Asked Questions (FAQs)
 - [How to Prepare and Submit a Foreign Financial Disclosure Report \(FFDR\) guide](#)

View of Research.gov homepage (after signing in)

The screenshot shows the Research.gov homepage after signing in. The page features a navigation bar with tabs for Proposals, Reviews & Meetings, Awards & Reporting, Fellowships, and Manage Financials. Below the navigation bar, there are several panels of links and options. The 'Foreign Financial Disclosure Report (FFDR) (CHIPS & Science Act of 2022)' link is highlighted with a red box.

Research.gov
ONLINE GRANTS MANAGEMENT FOR THE NSF COMMUNITY

Welcome [User Name] | Sign Out (Home) | My Profile | Contact | Help

Proposals | Reviews & Meetings | Awards & Reporting | Fellowships | Manage Financials

Administration

Show all notifications (4 notifications)

To access restricted features, add a role

Proposals
Prepare and Submit Proposals (Letters of Intent, Preliminary, Full, Renewal, Postdoctoral Fellowships and SBIR/STTR)
Demo Site: Prepare Proposals (Training)
Proposal Status

Reviews & Meetings
Provide Reviewer Profile Information
Panelist Functions (Travel and Reimbursement, Meeting Sign-in, Panel Review System, Interactive Panel System)
Prepare and Submit Ad Hoc Reviews (Prepare Review, View/Save Proposal for Review)
Proposal Evaluation System (Pilot) (Reviews, Ratings, Panels)

Awards & Reporting
Project Reports
Demo Site: Project Reports (Training)
Notifications & Requests
Award Documents
Supplemental Funding Requests (including Career-Life Balance)
Demo Site: Supplemental Funding Requests (Training)
Continuing Grant Increments Reports
NSF Public Access Repository (NSF-PAR): Add Research Products, Manage Research Products

Fellowships
Graduate Research Fellowship Program (Applicants, Fellows)
Graduate Research Fellowship Program (Officials)
Manage Reference Letters (Writers)

Manage Financials
ACMS (Award Cash Management Service)
Program Income Reporting
Foreign Financial Disclosure Report (FFDR) (CHIPS & Science Act of 2022)
Individual Banking (Postdoctoral Fellows, Honorary Awardees, Invitational Travelers, and other payees)
Grant Post-Award Financial Contacts

Administration
User Management
Look Up NSF ID



Save the Dates for Upcoming FFDR Outreach Opportunities

- FFDR Webinar & Demo
 - July 9th at 2:00 – 3:00 PM ET
- FFDR Virtual Office Hours
 - July 16th at 2:00 – 3:00 PM ET
 - July 31st at 2:00 – 3:00 PM ET

Registration will be available on June 17. Registration link will be in an advisory at the top of the [Research.gov homepage](#).



Grants.gov Proposal Submission



Grants.gov Proposal Processing in Research.gov

- [Grants.gov Proposal Processing in Research.gov](#) page
- When submitting via Grants.gov, NSF recommends proposers initiate proposal submission at least five business days in advance of a deadline to allow adequate time to address NSF compliance errors and resubmissions by 5:00 p.m. submitting organization's local time on the due date
- Grants.gov proposals must pass all NSF pre-check and post-check validations in order to be accepted by Research.gov at NSF
- Some errors cannot be corrected in Grants.gov. Once a proposal passes pre-checks but fails any post-check, the proposer can only correct and submit their in-progress proposal in Research.gov
- Receiving a Grants.gov Agency Tracking Number does not mean the proposal was successfully accepted by NSF

https://www.research.gov/common/attachment/Common/Grants_govProposal_Processing_in_Research.pdf


Grants.gov Proposal Processing in Research.gov
(As of July 11, 2023)

National Science Foundation (NSF) proposals submitted via Grants.gov must pass through multiple checks before they are processed in Research.gov. Grants.gov proposals must pass all NSF pre-check and post-check validations in order to be accepted by Research.gov at NSF.


When submitting via Grants.gov, NSF strongly recommends applicants initiate proposal submission at least five business days in advance of a deadline to allow adequate time to address NSF compliance errors and resubmissions by 5:00 p.m. submitter's local time on the deadline. Please note, some errors cannot be corrected in Grants.gov. Once a proposal passes pre-checks but fails any post-check, an applicant can only correct and submit their in-progress proposal in Research.gov. There is no grace period for Grants.gov proposals and receiving a Grants.gov Agency Tracking Number does not mean the proposal was successfully accepted by NSF.

Research.gov Pre-check and Post-check Validations

- **Pre-check Validations:** The list of Grants.gov proposal pre-check validations is posted on the NSF.gov [Automated Compliance Checking of NSF Proposals](#) page. Proposal corrections must be made in the source system (Grants.gov or System-to-System) and then resubmitted in Grants.gov.



- **Post-check Validations:** The post-check validations include the Research.gov automated compliance checks for the full proposal submission type posted on the NSF.gov [Automated Compliance Checking of NSF Proposals](#) page. Proposal corrections must be made in Research.gov and then resubmitted in Research.gov.



Guidance and Training Resources

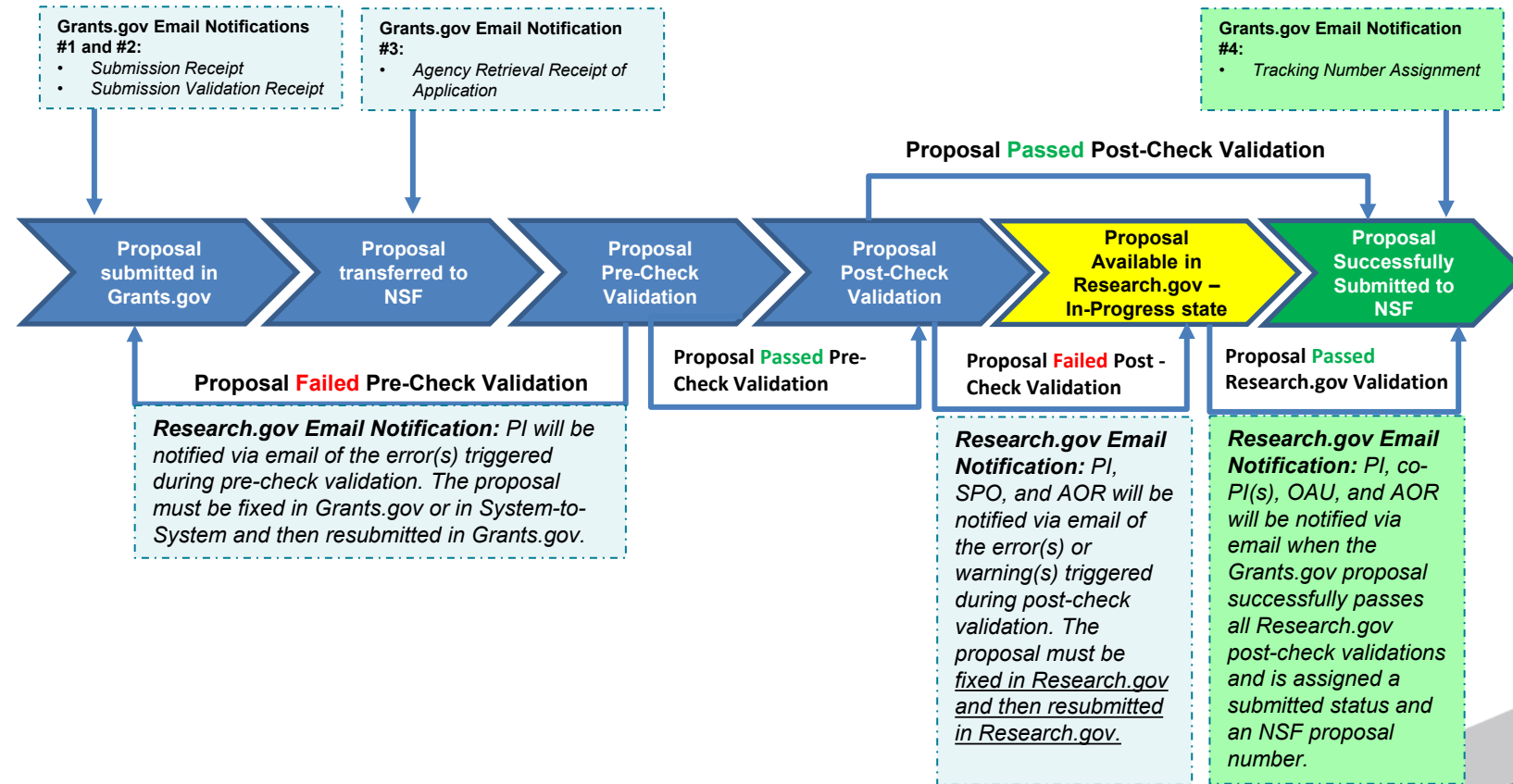
- NSF [Grants.gov Application Guide](#) developed to assist proposers in the preparation and submission of proposals to NSF
- [Grants.gov Proposal Processing in Research.gov](#) how-to guide including an overview of the entire process and example Grants.gov and Research.gov confirmation emails for proposal submissions via Grants.gov
- [Grants.gov Submitted Proposals](#) Frequently Asked Questions (FAQs) on the Research.gov [About Proposal Preparation and Submission](#) page
- Research.gov [About Proposal Preparation and Submission](#) page to access Research.gov proposal FAQs, how-to guides, and video tutorials
- [Research.gov Proposal Preparation Demo Site](#) to check compliance of uploaded proposal documents in Research.gov. See the demo site FAQs on the Research.gov



Grants.gov Proposal Submission

- Proposals submitted via Grants.gov must pass through multiple systems for processing
- Each Grants.gov proposal successfully submitted to NSF triggers **four** Grants.gov confirmation emails and **one** Research.gov confirmation email. Additional Research.gov emails requiring action by the proposer are possible
- Grants.gov proposals must pass all Research.gov pre-check and post-check validations and be successfully submitted to NSF by 5:00 p.m. submitting organization's local time on due date
- [Grants.gov Proposal Processing in Research.gov](#) how-to guide

Overview of Grants.gov Proposal Processing in Research.gov



Note: See the appendix for example notification email screenshots.



Grants.gov Proposal Submissions: Grants.gov Confirmation Emails

After a proposal is submitted to NSF in Grants.gov, four Grants.gov confirmation emails will be sent to the PI:

- 1. *Submission Receipt***
- 2. *Submission Validation Receipt***
- 3. *Agency Retrieval Receipt of Application***

Note: See the appendix for example notification email screenshots.

If the three Grants.gov confirmation emails listed above are not received within 10 minutes after submitting the proposal in Grants.gov, the PI should call the NSF IT Service Desk at 800-381-1532 for assistance.

The fourth Grants.gov confirmation email is sent to the PI after the proposal has been successfully submitted to NSF and a proposal number is assigned. The proposal has not been successfully accepted by NSF until the PI receives the Research.gov confirmation email

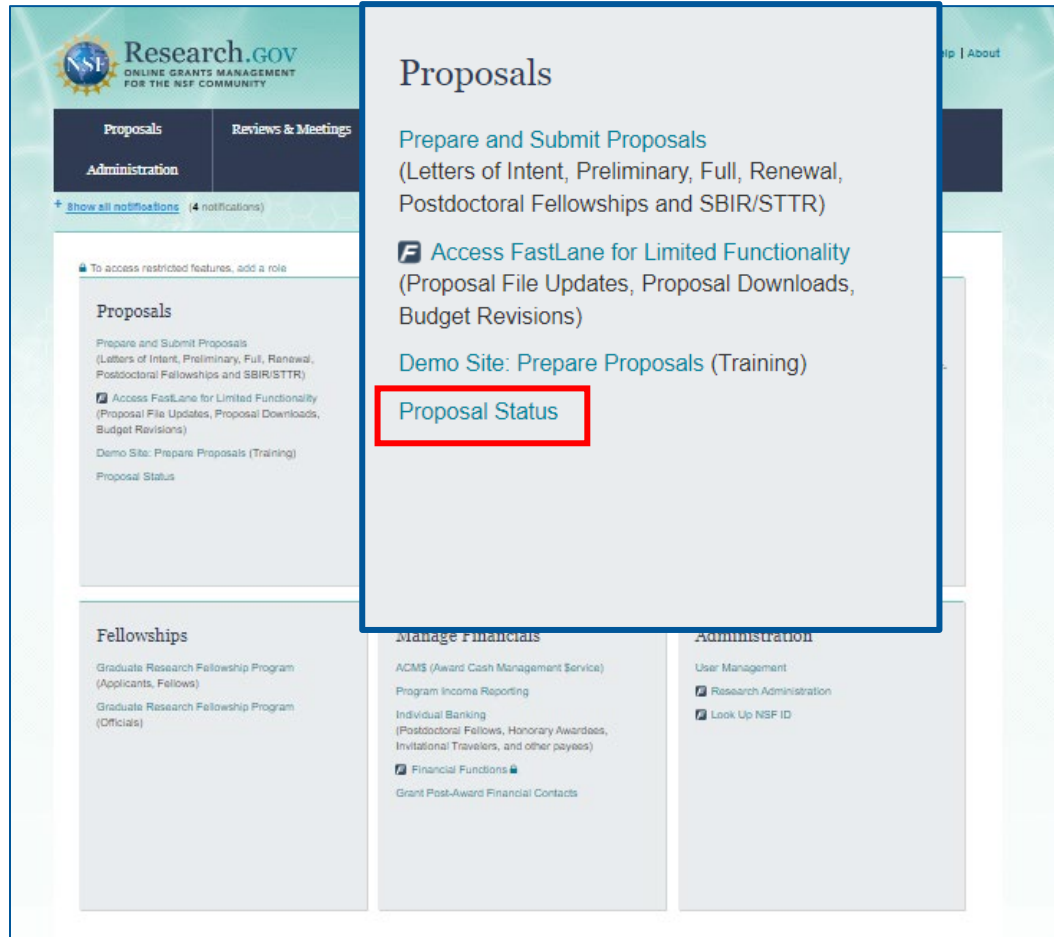
- 4. *Tracking Number Assignment***



How Proposers Check Submitted Proposal Status in Research.gov



How Proposers Check Submitted Proposal Status in Research.gov



- Within 24 hours of proposal submission in Research.gov (including proposals submitted via Grants.gov and processed in Research.gov), the proposal will be listed on the Research.gov Proposal Status page
- Proposers can access the Proposal Status page using the Proposal Status link under Proposals on the Research.gov homepage after signing in
- If proposers do not see a submitted proposal listed on the Proposal Status page after 24 hours, the proposer should contact the NSF IT Service Desk at 1-800-381-1532 for assistance. The NSF IT Service Desk is available by phone from 7:00 AM to 9:00 PM ET Monday - Friday except federal holidays or via email to rgov@nsf.gov
- In addition, proposal reviews and if applicable, the panel summary, context statement, and site visit report will be available on the Proposal Status page using the Proposal Status link

NSF Project Reports

- Approximately 5,000 project reports will be due monthly this summer, and PIs have been contacting the IT Service Desk for a copy of proposals submitted in FastLane
- Letters of intent and proposals submitted in FastLane *may* be available through a Freedom of Information Act (FOIA) request to foia@nsf.gov. See the [NSF FOIA](#) page and the [NSF Grant and Cooperative Agreement Program Management General Records Schedule](#) for more information
- FOIA process can take up to two months and may be longer depending on the number of FOIA requests NSF receives
- FastLane submitted and in-progress letters of intent, proposals, and supplemental funding requests were accessible in FastLane through September 29, 2023, including proposals submitted to NSF via Grants.gov on or before November 18, 2022
- FastLane submitted and in-progress letters of intent, proposals, and supplemental funding requests were not transferred to Research.gov; however, the reviews and summaries for proposals submitted in FastLane are available in Research.gov via the Proposal Status link



Research.gov Proposal Preparation Demo Site and Training Resources



Research.gov Proposal Preparation Demo Site

- Demo site can be used to explore preparing proposals and to check compliance of uploaded proposal documents (e.g., Collaborators and Other Affiliations and Biographical Sketch)
- All demo site users are automatically given the PI role for demo site purposes, in order to perform the proposal preparation functions that a PI can do in the actual system. Proposal submission capability is disabled
- See the demo site FAQs on the Research.gov [About Proposal Preparation and Submission](#) page for information on demo site access and features

Research.gov Demo Site: Proposal Preparation Homepage

Proposals Preparation Demo Site

NSF Research.gov ONLINE GRANTS MANAGEMENT FOR THE NSF COMMUNITY

DEMO

Demo user: Principal Investigator (PI)

< Return to Research.gov

Letters of Intent and Proposals (Preliminary, Full, and Renewal)

What would you like to work on?

Prepare New
Answer a few questions to set up letters of intent or proposals (including renewals).
Prepare New

In Progress
Continue working on a previously prepared letter of intent or proposal that has not yet been submitted to the NSF.
Work with In Progress

Submitted and Updates
View or download a submitted letter of intent or proposal. Perform a Proposal File Update/Budget Revision on a submitted proposal.
View/Update

<https://web.demo.research.gov/proposalprep/#/proposal-prep>
(User will be prompted to sign into Research.gov if not already signed in)



Research.gov About Proposal Preparation and Submission Page Training Resources

- **FAQs by topic including:**
 - [Uploading Documents](#) topic for document formatting FAQs
 - [Proposal Sections](#) topic for Cover Sheet and budget FAQs
- **How-to Guides (see <https://www.research.gov/research-web/content/aboutpsm#howtoguides> for full list of guides)**
 - [Initiating a New Proposal](#)
 - [Adding or Removing an OAU](#)
 - [Sharing Proposal and Proposal File Update/Budget Revision Access with SPO/AOR](#)
 - [Entering Proposal Budgets](#)
 - [Adding or Removing Collaborators and Other Affiliations](#)
 - [Submitting Letters of Intent and Proposals](#)
 - [Preparing Proposal File Updates](#)
 - [Preparing Budget Revisions](#)
 - [Submitting Proposal File Updates/Budget Revisions](#)
 - [Preparing Updated Current and Pending \(Other\) Support](#)

<https://www.research.gov/research-web/content/aboutpsm>

About Proposal Preparation and Submission

The Research.gov Proposal Submission System modernizes proposal preparation and submission capabilities by improving the user experience while also reducing administrative burden through an intuitive interface and expanded automated proposal compliance checking. NSF has transitioned all preparation and submission functionality from FastLane to Research.gov, and FastLane proposal preparation and submission functions were fully decommissioned on September 30, 2023. Please see the [FastLane Decommissioning page](#) for additional information. All NSF proposals must be submitted in Research.gov or Grants.gov in accordance with the instructions for a specific funding opportunity.

Access the Research.gov Proposal Submission System

Explore the Research.gov Proposal Preparation Demo Site (You will be prompted to sign in to Research.gov if you are not already signed in.)

Research.gov Proposal Preparation Benefits

- Fast and easy proposal setup wizard to find funding opportunities and initiate a proposal
- Quick process to share proposal access with administrative staff. Expanded compliance checking (View [Research.gov compliance checks](#) ☑)
- Expanded compliance checking (View [Research.gov compliance checks](#))
- Immediate compliance feedback in each proposal section
- Unaltered PDF uploads
- Minimized return without review of proposals due to compliance issues
- On-screen references to relevant sections of the Proposal & Award Policies & Procedures Guide (PAPPG)
- Better management of personnel and subawards
- Improved performance and less system downtime

Help NSF Continue to Refine the Research.gov Proposal Submission System

NSF wants your feedback! Vital feedback from the community helps ensure the system is working as intended and to identify areas of improvement.

Ways to provide feedback and stay informed:

- Send feedback to NSF via the [Research.gov's Feedback page](#)
- Join the NSF System Updates [listserv](#)! Sign up to receive Research.gov updates by sending a blank email to system_updates-subscribe-request@listserv.nsf.gov

Research.gov supports preparation and submission of all submission types (letters of intent, preliminary proposals, and full proposals) as well as all proposal types:

- Research Planning (Research.gov submission required)
- RAPID
- EAOER
- RAISE
- GOALI
- Ideas Lab
- FA BED
- Conference
- Equipment
- Travel
- Center
- Research Infrastructure
- Postdoctoral Fellowship (proposals without reference letter requirements)
- Small Business Innovation Research Program (SBIR)
- Small Business Technology Transfer Program (STTR)

Both single submission and collaborative proposals are supported. View all supported features on the [Proposal Submission Capabilities page](#).



Resources for LaTeX Users

- Open-source repository of compliant LaTeX/TeX sample input/output files for LaTeX/TeX users to reference for their own documents
- Repository link: <https://github.com/nsf-open/nsf-proposal-latex-samples>

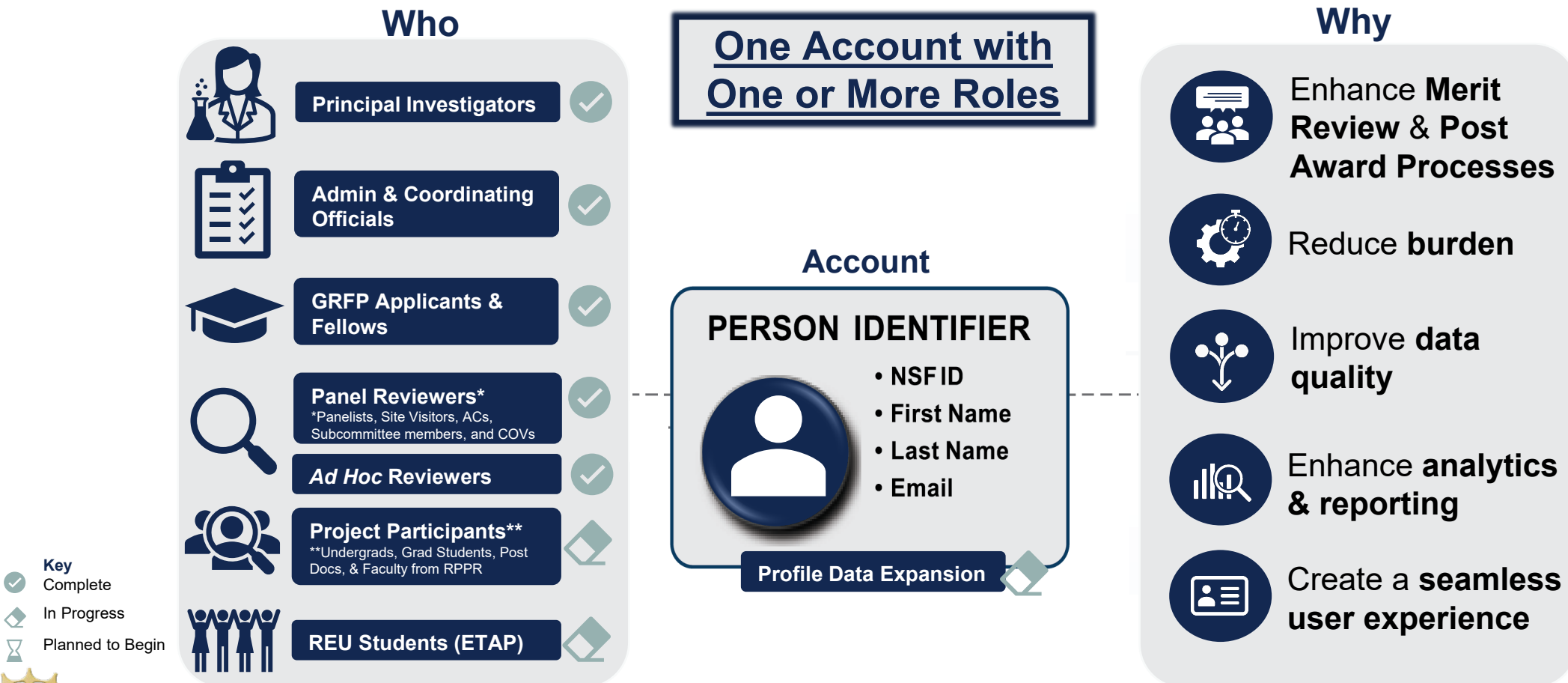


Upcoming System Enhancements for Reviewers



Research.gov Account Management Overview

NSF vision: A single unique identity that persists across all NSF business applications for each member of the research community throughout their career.



Upcoming System Enhancements for Reviewers

NSF is working on a series of enhancements including the new Proposal Evaluation System (PES) and Volunteer to Review feature

- PES will replace the FastLane Interactive Panel System module first and then will replace the FastLane Proposal Review module
- New Account Management Volunteer to Review feature will be added
 - Researchers will be able to “sign up” to join the NSF proposal reviewer pool without being added to a meeting or invited for *ad hoc* proposal review by NSF program staff
 - Prospective reviewers will complete reviewer profile information in Research.gov including areas of expertise and demographics
 - Refer to the Research.gov [Account Management – Reviewer](#) page for details on providing reviewer profile information



Information Resources & Contacts for Questions



Information Resources

- Join our NSF **System Updates listserv** to receive the latest Research.gov system enhancement information! Sign up by sending a blank email to: system_updates-subscribe-request@listserv.nsf.gov
- [Automated Compliance Checking of NSF Proposals](#) page
- [Grants.gov Maintenance Calendar](#)
- [Grants.gov Proposal Processing in Research.gov](#) page
- NSF [Biographical Sketch](#) and [Current and Pending \(Other\) Support](#) pages
- NSF [Grants.gov Application Guide](#) (NSF 24-006)
- NSF [Proposal & Award Policies & Procedures Guide](#) (PAPPG) (NSF 24-1)
- Research.gov [About Account Management](#) page
- Research.gov [About Foreign Financial Disclosure Report](#) page
- Research.gov [About Proposal Preparation and Submission](#) page
- Research.gov [Proposal Preparation Demo Site](#) (*User will be prompted to sign into Research.gov*)
- Resources for LaTeX Users: <https://github.com/nsf-open/nsf-proposal-latex-samples>
- [SciENcv](#) (for preparation of Biographical Sketch and Current and Pending (Other) Support documents)

Additional resources are available on the [Research.gov Help](#) page.



Contacts for Questions

Ask Early, Ask Often!



- For **IT system-related questions or technical questions**, please contact the NSF IT Service Desk at 1-800-381-1532 (7:00 a.m. - 9:00 p.m. Eastern Time; Monday - Friday except federal holidays) or via rgov@nsf.gov
- For **SciENCv technical questions**, please contact the NIH Help Desk (7:30 a.m. – 5:30 p.m. Eastern Time; Monday through Friday except for federal holidays) via email at NLMSciencv@mail.nih.gov or create a ticket at <https://support.nlm.nih.gov/support/create-case/>
- For **FFDR non-technical questions**, please contact the Office of the Chief of Research Security Strategy and Policy at FFDR@nsf.gov
- **Policy-related questions** should be directed to policy@nsf.gov



Thank you for your participation today!



Appendix



Appendix: Proposal Preparation



Required Senior/Key Personnel Documents

Research.gov Senior/Key Personnel Documents proposal section example showing the four documents required for individuals designated as senior/key personnel:

The screenshot displays the 'Senior/Key Personnel Documents' section for proposal 167742. The left sidebar contains navigation options such as 'Cover Sheet', 'Project Summary', and 'Required' (expanded). The main content area shows a table with columns for 'Personnel Name', 'Role', and 'Organization'. Two personnel are listed: Alan G. Alphaman (Principal Investigator) and Milton J. Rosenberg (Other Senior/Key Personnel), both from the National Science Foundation. Each personnel entry has a sub-table of documents. The documents listed are: Biographical Sketch, Current and Pending (Other) Support, Collaborators and Other Affiliations (Single Copy Document), and Synergistic Activities. The 'Compliance Status [Key]' column for all documents is 'Document unavailable for check'. Red boxes highlight the document names and the 'Compliance Status [Key]' column.

Personnel Name	Role	Organization
Alan G. Alphaman	Principal Investigator	National Science Foundation
Documents		
Biographical Sketch		Document unavailable for check
Current and Pending (Other) Support		Document unavailable for check
Collaborators and Other Affiliations (Single Copy Document)		Document unavailable for check
Synergistic Activities		Document unavailable for check
Milton J. Rosenberg	Other Senior/Key Personnel	National Science Foundation
Documents		
Biographical Sketch		Document unavailable for check
Current and Pending (Other) Support		Document unavailable for check
Collaborators and Other Affiliations (Single Copy Document)		Document unavailable for check
Synergistic Activities		Document unavailable for check



Senior/Key Personnel Documents

- Senior/key personnel documents must be submitted for each individual identified as a senior/key person on the proposal. See [Documents Required for Senior Personnel](#), PAPPG [Exhibit II.3](#), and PAPPG [Chapter II.D.2.h](#).
- Senior/key personnel documents:
 - **Biographical Sketch** (Create and download in SciENCv)
 - **Current and Pending (Other) Support** (Create and download in SciENCv)
 - **Collaborators and Other Affiliations** ([Download COA template](#) and edit in Microsoft Excel)
 - **Synergistic Activities** (Upload one-page PDF. This document is not created in SciENCv)
- Synergistic Activities section was removed from the Biographical Sketch. This information must be uploaded as a separate document in Research.gov and Grants.gov. See PAPPG [Chapter II.D.2.h\(iv\)](#)
- Policy-related questions regarding the content of the senior/key personnel documents should be directed to policy@nsf.gov



Biographical Sketch and Current and Pending (Other) Support

- Use of [SciENcv](#) is mandatory for preparation of the Biographical Sketch and Current and Pending (Other) Support proposal sections
- See [Documents Required for Senior Personnel](#), PAPPG [Exhibit II.3](#), and PAPPG [Chapter II.D.2.h](#).
- Research.gov and Grants.gov **ONLY** accept the current version of the Biographical Sketch and Current and Pending (Other) Support prepared in SciENcv. Attempting to upload a prior SciENcv version or the NSF fillable PDF will generate a compliance error message preventing proposal submission
- Current version of Biographical Sketch has “SCV Biographical Sketch v.2024-1” in the document footer
- Current version of Current and Pending (Other) Support has “SCV C&P(O)S v.2024-1” in the document footer



SciENcv Training Resources

- [NSF Biographical Sketch video tutorial](#)
- [NSF Current and Pending \(Other\) Support video tutorial](#)
- [SciENcv Help Manual with NSF-specific sections](#) (includes screenshots and step-by-step instructions)
- [Using SciENcv Frequently Asked Questions](#)
- Implementing the Common Forms for the Biographical Sketch and Current and Pending (Other) Support ([April 2024 NSF/NIH webinar with SciENcv demo](#))



Research.gov Proposal Document Upload Reminders

Fonts

- Compliant fonts per PAPPG:
 - Arial, Courier New, or Palatino Linotype at a font size of 10 points or larger
 - Times New Roman at a font size of 11 points or larger
 - Computer Modern family of fonts at a font size of 11 points or larger

Line Spacing Warnings

- Per PAPPG, there should be no more than six lines of text within a vertical space of one inch
- Some known Research.gov line spacing issues with documents originating in LaTeX. Please refer to <https://github.com/nsf-open/nsf-proposal-latex-samples> for information on how to resolve
- Some known Research.gov issues with documents using Arial font size 10. Issues do not appear for font sizes Arial 10.5 and Arial 11



Research.gov Proposal Document Upload Reminders (cont'd)

URL Errors

- Per PAPPG, URLs must not be used in the Project Description
- Hidden or embedded URLs can be prevalent when using citations. Proposers should also search for "www" or "http" text

Resources for More Information

- [Uploading Documents](#) FAQs on the Research.gov [About Proposal Preparation and Submission](#) page
- [Automated Compliance Checking of NSF Proposals](#) page



Post-Review: Current and Pending (Other) Support Update Requests

- Cognizant NSF Program Officer will request that an updated version of Current and Pending (Other) Support be submitted for all senior/key personnel named on the proposal prior to making a funding recommendation
- SciENCv will produce an NSF-compliant PDF version
- AOR submits the updated document for each individual named as senior/key personnel on the submitted proposal
- See the [Preparing Updated Current and Pending \(Other\) Support](#) how-to guide for step-by-step instructions

The screenshot shows the Research.gov portal interface. The main heading is "Current and Pending Support Update" for proposal "Research proposal-00231022". The "Proposal Actions" section includes options like "Share Proposal with SPOAOR", "Initiate Proposal Submission", "Manage Personnel and Subaward Organizations", "Print Proposal", and "Delete Update". The "Proposal Sections" table lists required documents such as "Cover Sheet", "Project Summary", "Project Description", "References Cited", "Budget(s)", "Budget Justification(s)", and "Facilities, Equipment and Other Resources", all with a "Last Updated" date of 11/30/2022 11:59. The "Proposal Details" section shows the "Senior Personnel Documents" link highlighted in green. A red arrow points to this link. A red box highlights a message: "Upload a Current and Pending Support document that is accurate, current, and complete for each individual below. Biographical Sketch and Collaborators & Other Affiliations documents cannot be updated at this time." Below this, a table lists personnel and their documents:

Personnel Name	Role	Organization	Documents	Last Updated	Compliance Status [Key]
Alan G Alphanan	Principal Investigator	National Science Foundation	Biographical Sketch	12/02/2022 11:15 AM EST	No issue(s) found
			Current and Pending Support		Document unavailable for check
			Collaborators and Other Affiliations (Single Copy Document)	12/02/2022 11:15 AM EST	No issue(s) found



Post-review: Current and Pending (Other) Support Update Requests (cont'd)

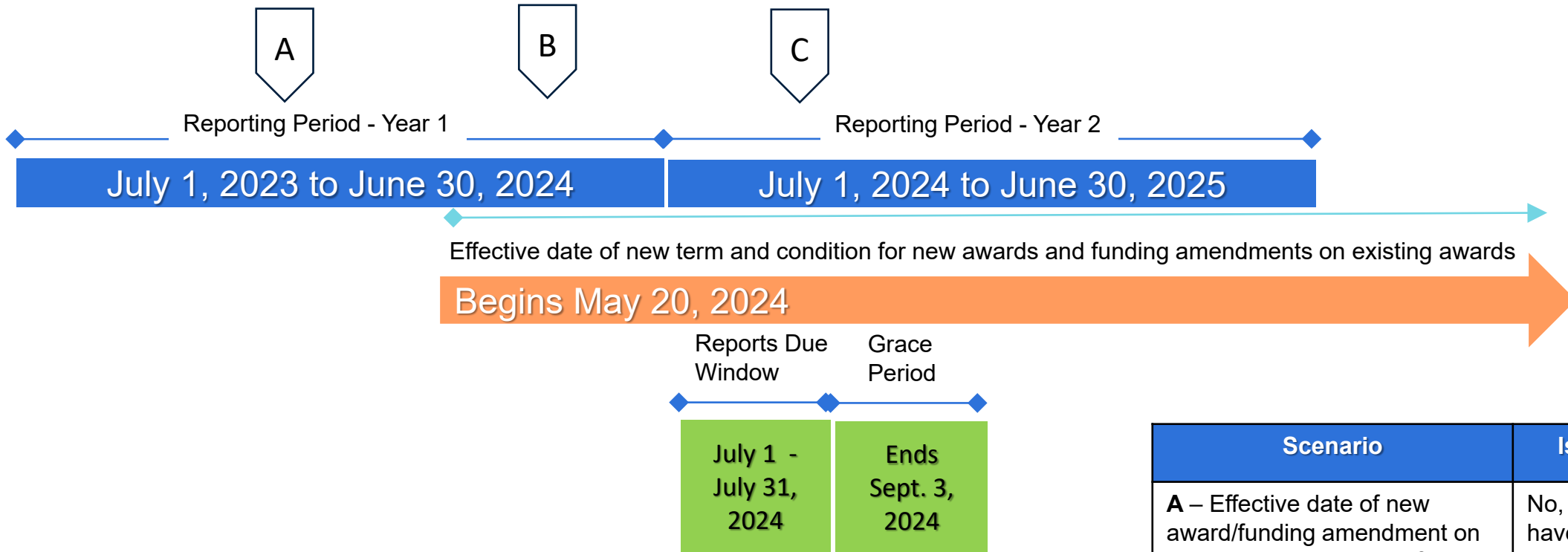
- Current and Pending (Other) Support update documents cannot be edited or corrected after submission. Research.gov does not allow resubmission
- Research.gov reminders for Current and Pending (Other) Support updates:
 - If a Program Officer has initiated a Current and Pending (Other) Support update request, a budget revision cannot be initiated by the PI. The Current and Pending (Other) Support update must be completed first and then a budget revision can be started by the PI
 - If the PI has already started a budget revision when the Current and Pending (Other) Support update is initiated by the Program Officer, the in-progress budget revision must be deleted so that the Current and Pending (Other) Support update can be completed and submitted
 - If a budget revision has already been submitted, a Current and Pending (Other) Support update cannot be submitted on the same day to allow time for processing



Appendix: Foreign Financial Disclosure Report



FFDR Timing



Scenario	Is Reporting Required?
A – Effective date of new award/funding amendment on existing award starts before May 20, 2024	No, my institution does not have the new reporting term and condition and is not required to report
B - Effective date of new award/funding amendment on existing award starts on or after May 20, 2024, but on or before June 30, 2024	Yes, my institution has the new reporting term and condition and is required to report
C – Effective date of new award/funding amendment on existing award starts after June 30, 2024	Report not required in 2024, but my institution will be required to report in 2025



New Research.gov Account Management FFDR Preparer Role

- FFDR must be prepared and submitted in Research.gov by an individual with a "Foreign Financial Disclosure Report (FFDR) Preparer" role
- All active AORs were assigned the new FFDR Preparer role as of 5/20
- Organizational Administrators can add and remove FFDR Preparer role in Account Management for users within their organization

Roles

ⓘ The Foreign Financial Disclosure Report (FFDR) Preparer role is automatically assigned to new Authorized Organizational Representatives. **The FFDR Preparer role is required to create, edit, and submit Foreign Financial Disclosure Reports for your organization.** Uncheck Foreign Financial Disclosure Report (FFDR) Preparer if you would prefer to assign that role to someone else.

Roles for **Alan GS Alphaman** at **National Science Foundation**

Prepare Proposals and Manage Awards	Manage Organizations
<input type="checkbox"/> Principal Investigator (PI/co-PI) ⓘ	<input type="checkbox"/> Administrator (Admin) ⓘ
<input type="checkbox"/> Other Authorized User (OAU) ⓘ	<input checked="" type="checkbox"/> Authorized Organizational Representative (AOR) ⓘ
	<input checked="" type="checkbox"/> Sponsored Projects Officer (SPO) ⓘ
	<input checked="" type="checkbox"/> View Only ⓘ
	<input checked="" type="checkbox"/> Foreign Financial Disclosure Report (FFDR) Preparer (For Institutions of Higher Education only) ⓘ
Manage Financials in Award Cash Management Service (ACMS)	Graduate Research Fellowship Program (GRFP) Official
<input type="checkbox"/> Awardee Preparer ⓘ	<input checked="" type="checkbox"/> Coordinating Official (CO) [currently Alan Alphaman] ⓘ
<input type="checkbox"/> Awardee Certifier ⓘ	<input type="checkbox"/> Alternate Coordinating Official (Alt. CO) ⓘ
<input type="checkbox"/> Awardee Financial Representative ⓘ	<input type="checkbox"/> Financial Official (FO) ⓘ

Save **Cancel**



Accessing the FFDR Portal in Research.gov

- FFDR Preparers must be signed into Research.gov to access FFDR portal. Two ways to access:
 - Foreign Financial Disclosure Report (FFDR) link under "Manage Financials" on the Research.gov homepage
 - FFDR link included in the FFDR system-generated emails from NSF
- Users without the FFDR Preparer role will see the link; however, it will have a lock icon and can only be accessed by users with the FFDR Preparer role

View of Research.gov Homepage (after sign-in)

To access restricted features, add a role

Proposals
Prepare and Submit Proposals (Letters of Intent, Preliminary, Full, Renewal, Postdoctoral Fellowships and SBIR/STTR)
Demo Site: Prepare Proposals (Training)
Proposal Status

Reviews & Meetings
Provide Reviewer Profile Information
Panelist Functions (Travel and Reimbursement, Meeting Sign-in, Panel Review System, Interactive Panel System)
Prepare and Submit Ad Hoc Reviews (Prepare Review, View/Save Proposal for Review)
Proposal Evaluation System (Pilot) (Reviews, Ratings, Panels)

Awards & Reporting
Project Reports
Demo Site: Project Reports (Training)
Notifications & Requests
Award Documents
Supplemental Funding Requests (including Career-Life Balance)
Demo Site: Supplemental Funding Requests (Training)
Continuing Grant Increments Reports
NSF Public Access Repository (NSF-PAR):
Add Research Products
Manage Research Products

Fellowships
Graduate Research Fellowship Program (Applicants, Fellows)
Graduate Research Fellowship Program (Officials)
Manage Reference Letters (Writers)

Manage Financials
ACMS (Award Cash Management Service)
Program Income Reporting
Foreign Financial Disclosure Report (FFDR) (CHIPS & Science Act of 2022)
Individual Banking (Postdoctoral Fellows, Honorary Awardees, Invitational Travelers, and other payees)
Grant Post-Award Financial Contacts

Administration
User Management
Look Up NSF ID

Institutions of Higher Education that received a new award or funding amendment on an existing award on or after May 20, 2024 will be required to submit a report



FFDR Landing Page

- FDR Preparer navigated to FFDR landing page from FFDR link
- Preparer initiates FFDR by selecting “Create Report”
- From landing page, Preparer can:
 - Access list of all FFDRs created and submitted for the IHE
 - Initiate various actions such as View Report and Edit Report

Foreign Financial Disclosure Report (FFDR)

CHIPS & Science Act of 2022

i The submission window to report foreign support from countries of concern (see [PAPPG Chapter VII.D.3](#) for additional information) received between July 1, 2023 and June 30, 2024 is **open**. While the PAPPG and Award Terms and Conditions stipulate that the Foreign Financial Disclosure Report (FFDR) is due on July 31, 2024, NSF is providing a one-time grace period for the initial report until **September 3, 2024 at 5:00 p.m.** (based on the submitting organization's local time).

Any NSF recipient of higher education that receives a new award or a funding amendment on an existing award on or after May 20, 2024 is required to submit a Foreign Financial Disclosure Report (FFDR). The FFDR must be submitted to NSF **regardless of whether your organization receives financial support** from a foreign country of concern. For more details, see [Foreign Financial Disclosure Report \(PAPPG\)](#)

Show All ▼ Showing 1 - 1 of 1

Foreign Financial Disclosure Report(s)						Create Report i
Year ▼	Organization ⌵	Unique Entity Identifier (UEI) ⌵	Submitted By ⌵	Submitted On ⌵	Actions	

Show All ▼ Showing 1 - 1 of 1



FFDR Main Page

- FFDR Preparer is navigated to IHE report main page after creating a new report
- Top section displays important details including name of FFDR Preparer(s) who created and last updated report
- **Any user with the FFDR Preparer role and associated with the submitting organization can access, edit, or submit the report**
- Report Actions:
 - Check Error(s) and Warning(s) – automated compliance validation checks
 - Delete Report

2024 Foreign Financial Disclosure Report

Organization Details:	National Science Foundation 2415 EISENHOWER AVE ALEXANDRIA, VA 22314-4684 US View SAM Legal Business Name	Due Date: 7/31/2024
Unique Entity Identifier (UEI):	GGF7K5B4JSL5	
Created By:	Alan GS. Alphaman	Created On: 5/5/2024
Last Updated By:	Alan GS. Alphaman	Last Updated On: 5/6/2024

Report Actions

- Check Error(s) and Warning(s)
- Delete Report

Helpful Links

- [FFDR \(PAPPG\)](#)
- [FFDR FAQs](#)
- [FFDR Definitions](#)

See [Foreign Financial Disclosure Report \(PAPPG\)](#) for instructions regarding what organizations must disclose.

*** Required**

Foreign Source Ownership and Control

* 1. Is National Science Foundation, or any part thereof, owned or substantially controlled by a foreign source based in a country of concern? (i.e., [People's Republic of China](#), [Islamic Republic of Iran](#), [Democratic Republic of Korea](#), or [Russian Federation](#)) [What is owned or substantially controlled?](#) [What is a foreign source?](#)

No, National Science Foundation, or any part thereof, is not owned or substantially controlled by a foreign source

Yes, National Science Foundation, or any part thereof, is owned or substantially controlled by a foreign source

Foreign Source Gifts and Contracts

* 2. Has National Science Foundation received Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period? (i.e., [People's Republic of China](#), [Islamic Republic of Iran](#), [Democratic Republic of Korea](#), or [Russian Federation](#))

No, National Science Foundation does not have Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period

Yes, National Science Foundation has Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period



Preparing and Submitting a Negative Report

1. Select “No” for **Foreign Source Ownership and Control for Question 1** if the IHE, or any part thereof, is not owned or substantially controlled by a foreign source.
2. Select “No” for **Foreign Source Gifts and Contracts for Question 2** if the IHE does not have Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period.
3. Click the **Submit Report** button.
4. Certify and click the **Sign and Submit** button.

2024 Foreign Financial Disclosure Report

Organization Details: National Science Foundation
2415 EISENHOWER AVE
ALEXANDRIA, VA 22314-4684
US
View SAM Legal Business Name

Unique Entity Identifier (UEI): GGF7K5B4JSL5
Created By: Alan GS. Alphaman Created On: 5/5/2024
Last Updated By: Alan GS. Alphaman Last Updated On: 5/6/2024

Report Actions: See Foreign Financial Disclosure Report (PAPPG) for instructions regarding what organizations must disclose.

Helpful Links: FFDR (PAPPG), FFDR FAQs, FFDR Definitions

1 * 1. Is National Science Foundation, or any part thereof, owned or substantially controlled by a foreign source based in a country of concern? (i.e., People's Republic of China, Islamic Republic of Iran, Democratic Republic of Korea, or Russian Federation)

No, National Science Foundation, or any part thereof, is not owned or substantially controlled by a foreign source

Yes, National Science Foundation, or any part thereof, is owned or substantially controlled by a foreign source

2 * 2. Has National Science Foundation received Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period? (i.e., People's Republic of China, Islamic Republic of Iran, Democratic Republic of Korea, or Russian Federation)

No, National Science Foundation does not have Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period.

Yes, National Science Foundation has Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period.

3 Submit Report Save

Submit 2024 Foreign Financial Disclosure Report

1. Review Report Information 2. Certify and Submit

Certify and Submit

* Required

Foreign Source Disclosure Report Certification(s)

I certify that, in accordance with Section 10339B, Foreign Financial Disclosure Report, of the CHIPS and Science Act of 2022, (42 U.S.C. § 19040), the organization is herewith reporting all "current financial support, the value of which is \$50,000 or more, including gifts and contracts, received directly or indirectly from a foreign source associated with a foreign country of concern," as defined in the PAPPG Introduction, Section D. Misrepresentations and/or omissions may be subject to prosecution and liability pursuant to, but not limited to, 18 U.S.C. §§ 287, 1001, 1031 and 31 U.S.C. §§ 3729-3733 and 3802.

* I have read and agree to the certifications listed above

By clicking the "Sign and Submit" button you are electronically signing, as well as, submitting your report(s).

Previous Sign and Submit

4



Preparing and Submitting a Positive Report Overview

1. Select “Yes” for **Foreign Source Ownership and Control for Question 1** if the IHE, or any part thereof, is owned or substantially controlled by a foreign source. Then enter foreign source information.
2. Select “Yes” for **Foreign Source Gifts and Contracts for Question 2** if the IHE has Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period. Then enter foreign source information.
3. Add **gift(s) and/or contract(s)** on the Gift Details and/or Contract Details screens
 - ~ 20 questions for reporting a gift
 - ~ 18 questions for reporting a contract
4. Certify and click “Sign and Submit” button

Submit 2024 Foreign Financial Disclosure Report

1. Review Report Information (highlighted) 2. Certify and Submit

Review Report Information

Organization Information

Organization National Science Foundation	Unique Entity Identifier (UEI) GGF7K5B4JSL5
Submitting Foreign Financial Disclosure Report Preparer Alan GS Alphaman	Address 2415 EISENHOWER AVE ALEXANDRIA, VA 22314-4684 US

Report Information

Foreign Source Ownership and Control Organizations	Program Changes
Test	Test

Foreign Source Gifts and Contracts Organizations	Cumulative Total
Test	\$600000

Cancel Next (highlighted)

Submit 2024 Foreign Financial Disclosure Report

1. Review Report Information 2. Certify and Submit (highlighted)

Certify and Submit

* Required

Foreign Source Disclosure Report Certification(s)

I certify that, in accordance with Section 10339B, Foreign Financial Disclosure Report, of the CHIPS and Science Act of 2022, (42 U.S.C. § 1904D), the organization is herewith reporting all "current financial support, the value of which is \$50,000 or more, including gifts and contracts, received directly or indirectly from a foreign source associated with a foreign country of concern," as defined in the PAPPFS Introduction, Section D. Misrepresentations and/or omissions may be subject to prosecution and liability pursuant to, but not limited to, 18 U.S.C. §§ 207, 1001, 1031 and 31 U.S.C. §§ 3729-3733 and 3802.

I have read and agree to the certifications listed above (highlighted)

By clicking the "Sign and Submit" button you are electronically signing, as well as, submitting your report(s).

Previous Sign and Submit (highlighted)



Preparing and Submitting a Positive Report

FFDR Preparers complete the Foreign Source Ownership and Control section.

1. Select “Yes” for **Foreign Source Ownership and Control for Question 1** if the IHE, or any part thereof, is owned or substantially controlled by a foreign source. Then enter the foreign source information by clicking the Foreign Source Ownership and Control link in the Required Section table.

Select “No” for **Foreign Source Ownership and Control for Question 1** if the IHE, or any part thereof, is not owned or substantially controlled by a foreign source and proceed to the Foreign Source Gifts and Contracts section.

2024 Foreign Financial Disclosure Report

Organization Details: National Science Foundation
2415 EISENHOWER AVE
ALEXANDRIA, VA 22314-6604
US
View SAM Legal Business Name

Unique Entity Identifier (UEI): GGFTKSB4SL5
Created By: Alan OS, Alphanan
Last Updated By: Alan OS, Alphanan
Created On: 5/5/2024
Last Updated On: 5/5/2024
Due Date: 7/31/2024

Report Actions: See Foreign Financial Disclosure Report (PAPPG) for instructions regarding what organizations must disclose.

Report Actions: Check Error(s) and Warning(s) Delete Report

Helpful Links: FFDR (PAPPG) FFDR FAQs FFDR Definitions

Required Section

Required Section	Last Updated	Compliance Status (0 or 1)
Foreign Source Ownership and Control		Form not checked

Foreign Source Gifts and Contracts

Submit Report Save

- Additional foreign sources can be entered by clicking the Add Another Foreign Source button. The button is disabled until the foreign source information being worked on is completed and saved.
- Foreign Sources can be edited and deleted.
- Use the Return to Report Main Page button to continue working on the positive report.

Foreign Source Ownership and Control

Add all sources of ownership and control from a foreign country of concern (i.e., People's Republic of China, Islamic Republic of Iran, Democratic People's Republic of Korea, or Russian Federation) associated with your organization.

Foreign Financial Disclosure Report (PAPPG)

Required

1. Foreign Source

1. Foreign Source has been successfully saved.

* Foreign Source Name (Organization or Individual)
Test

* Select Foreign Country of Concern
Islamic Republic of Iran (Iran)

Provide the date on which the foreign source assumed ownership or control (MM/DD/YYYY)
12/25/2023

* Describe which part(s) of the Institution of Higher Education that are owned or substantially controlled by a foreign source based in a country of concern
Test
4 of 250 characters

Add Another Foreign Source Return to Report Main Page



Preparing and Submitting a Positive Report (cont'd)

FFDR Preparers complete the Foreign Source Gifts and Contracts section.

2. Select “Yes” for Foreign Source Gifts and Contracts for Question 2 if the IHE has Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period. Then enter foreign source information by clicking the Add Foreign Organization button.

Select “No” for Foreign Source Gifts and Contracts for Question 2 if the IHE does not have Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period.

2024 Foreign Financial Disclosure Report

Organization Details: National Science Foundation
2415 EISENHOWER AVE
ALEXANDRIA, VA 22314-4684
US
View SAM Legal Business Name

Unique Entity Identifier (UEI): GIGFK5BAJLSL
Created By: Alan GS. Alphanan
Last Updated By: Alan GS. Alphanan
Created On: 5/5/2024
Last Updated On: 5/5/2024

Due Date: 7/31/2024

Report Actions
Check Error(s) and Warning(s)
Delete Report

Helpful Links
FFDR (PAPPG)
FFDR FAQs
FFDR Definitions

See Foreign Financial Disclosure Report (PAPPG) for instructions regarding what organizations must disclose.

* Required

Foreign Source Ownership and Control

* 1. Is National Science Foundation, or any part thereof, owned or substantially controlled by a foreign source based in a country of concern? (i.e., People's Republic of China, Islamic Republic of Iran, Democratic Republic of Korea, or Russian Federation) What is owned or substantially controlled? What is a foreign source?

No, National Science Foundation, or any part thereof, is not owned or substantially controlled by a foreign source
 Yes, National Science Foundation, or any part thereof, is owned or substantially controlled by a foreign source

Required Section	Last Updated	Compliance Status (Key)
Foreign Source Ownership and Control	5/5/2024	No issues found

Foreign Source Gifts and Contracts

* 2. Has National Science Foundation received Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period? (i.e., People's Republic of China, Islamic Republic of Iran, Democratic Republic of Korea, or Russian Federation)

No, National Science Foundation does not have Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period
 Yes, National Science Foundation has Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period

Show All Showing 0 - 0 of 0

Foreign Organizations Add Foreign Organization

Foreign Organization Name	DBA/Trade Name	Compliance Status (Key)	Actions
There are currently no organizations added. You are required to add all foreign organizations that have gifts and contracts with a cumulative value of \$50,000 or more per source during the reporting period. You will be able to add gifts and contracts after an organization is added.			

Show All Showing 0 - 0 of 0

Submit Report Save

- Additional foreign organizations can be added by clicking the Add Foreign Organization button.
- Click the Save button to continue working on the positive report.

Add Foreign Organization **2**

Only add an organization if the cumulative value of its gifts and contracts from a foreign country of concern is \$50,000 or more per source during the reporting period

* Required

Foreign Source Legal Name (Conditionally required)
Test

DBA/Trade Name (Conditionally required)
Test

* Country
Democratic People's Republic of Korea (North Korea)

* City
Test

Add Foreign Organization Cancel



Preparing and Submitting a Positive Report (cont'd)

FFDR Preparers add all gifts and contracts with a cumulative value of \$50,000 or more per source received during the reporting period.

3. Click the Question 2 Manage Gifts or Contracts link in the Foreign Source Gifts and Contracts Foreign Organizations table to add gifts and contracts from each foreign organization.

2024 Foreign Financial Disclosure Report

Gifts and contracts with a cumulative value of \$50,000 or more are **required** for all foreign organizations on the report. Add all gifts and contracts from each foreign organization by clicking "Manage Gifts or Contracts" in the table below.

Organization Details: National Science Foundation
2415 EISENHOWER AVE
ALEXANDRIA, VA 22314-4684
US
[View SAM Legal Business Name](#) Due Date: 7/31/2024

Unique Entity Identifier (UEI): GGFTK5B4JSL5
Created By: Alan GS. Alphaman **Created On:** 5/5/2024
Last Updated By: Alan GS. Alphaman **Last Updated On:** 5/6/2024

Report Actions

Check Error(s) and Warning(s)
 Delete Report

Helpful Links

[FFDR \(PAPPG\)](#) [FFDR FAQs](#) [FFDR Definitions](#)

See Foreign Financial Disclosure Report (PAPPG) [?](#) for instructions regarding what organizations must disclose.

*** Required**

Foreign Source Ownership and Control

* 1. Is National Science Foundation, or any part thereof, owned or substantially controlled by a foreign source based in a country of concern? (i.e., [People's Republic of China](#), [Islamic Republic of Iran](#), [Democratic Republic of Korea](#), or [Russian Federation](#)) What is owned or substantially controlled? What is a foreign source?

No, National Science Foundation, or any part thereof, is not owned or substantially controlled by a foreign source
 Yes, National Science Foundation, or any part thereof, is owned or substantially controlled by a foreign source

Required Section	Last Updated	Compliance Status [Key]
Foreign Source Ownership and Control	5/6/2024	<input checked="" type="radio"/> No issues found

Foreign Source Gifts and Contracts

* 2. Has National Science Foundation received Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period? (i.e., [People's Republic of China](#), [Islamic Republic of Iran](#), [Democratic Republic of Korea](#), or [Russian Federation](#))

No, National Science Foundation does not have Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period
 Yes, National Science Foundation has Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period

Show All Showing 1 - 1 of 1

Foreign Organization Name	DISA/Trade Name	Compliance Status [Key]	Actions
Test	Test	Form not checked 3	<input checked="" type="checkbox"/> Edit Foreign Organization <input checked="" type="checkbox"/> Manage Gifts or Contracts <input checked="" type="checkbox"/> Delete Foreign Organization

Show All Showing 1 - 1 of 1



Preparing and Submitting a Positive Report (cont'd)

FFDR Preparers add gift(s) on the Gift Details screen.

3. Use the Add Gift button to report gifts for Question 2. There are ~ 20 questions that must be answered on the Gift Details screen when reporting a gift. Depending on answers provided for certain questions, additional information must be entered.

- After entering the gift details, click the Save Gift button.
- Research.gov will run the automated compliance validation checks, and any error or warning messages will display at the top of the Gift Details screen. The report can be saved, but any identified errors must be corrected in order to submit the report.
- After saving the gift details, the FFDR Preparer can add another gift, delete the gift, or return to the Gifts and Contracts screen.

Foreign Source Gifts and Contracts - Test

You must disclose in the report all gifts or contracts received, directly or indirectly, with a cumulative value of \$50,000 or more per source during the reporting period. This includes support received by a foundation of the institution or a related entity.

Foreign Financial Disclosure Report (PAPP) [↗](#)

* Required

Show All Showing 0 - 0 of 0

Gifts and Contracts **3** Add Gift Add Contract

Gift or Contract	ID	Date Added	Amount	Compliance Status [Key]	Actions
------------------	----	------------	--------	-------------------------	---------

There are currently no gifts or contracts added. For each foreign organization, you are required to add all gifts and contracts with a cumulative value at \$50,000 or more per source during the reporting period. After a gift or contract is added you will be able to provide additional details.

Show All Showing 0 - 0 of 0

Return to Report Main Page

Gift Details
Test

Foreign Financial Disclosure Report (PAPP) [↗](#)

Save Gift Add Another Gift Return to Gifts and Contracts Delete Gift

* Required

Gift from a Foreign Source

* 1. Gift Amount (in U.S. dollars)
\$100,000

* 2. Date Gift Received (MM/DD/YYYY)
10/03/2024

* 3. Is this a multiyear gift? No Yes

Type of Foreign Source

* 4. Is the gift from a foreign government, including but not limited to any agency of a foreign government?
 No Yes (must answer question 9)

* 5. Is the gift from a legal entity, governmental or otherwise, created solely under the laws of a foreign state or states?
 No Yes (must answer question 10)

* 6. Is the gift from an individual who is not a citizen or a national of the United States or a trust territory or protectorate thereof?

Gift Details - 294
Test

Foreign Financial Disclosure Report (PAPP) [↗](#)

The form contains the following error(s) which must be fixed before submitting the report.

- The following required field(s) are missing for Country of Attribution: 10. Country Attributable to Other Foreign Source
- The following required field(s) are missing for Specific Restricted or Conditional Gift Provisions: 18. Other Category Detailed Description

Save Gift Add Another Gift Return to Gifts and Contracts Delete Gift

* Required

Gift from a Foreign Source

* 1. Gift Amount (in U.S. dollars)
\$100,000

* 2. Date Gift Received (MM/DD/YYYY)
10/03/2024

* 3. Is this a multiyear gift? No Yes



Preparing and Submitting a Positive Report (cont'd)

FFDR Preparers add contract(s) on the Contract Details screen.

3. Use the Add Contract button to report contracts for Question 2. There are ~ 18 questions that must be answered on the Contract Details screen when reporting a contract. Depending on answers provided for certain questions, additional information must be entered.

- After entering the contract details, click the Save Contract button.
- Research.gov will run the automated compliance validation checks, and any error or warning messages will display at the top of the Contract Details screen. The report can be saved, but any identified errors must be corrected in order to submit the report.
- After saving the contract details, the FFDR Preparer can add another contract, delete the contract, or return to the Gifts and Contracts screen.

Foreign Source Gifts and Contracts - Test

You must disclose in the report all gifts or contracts received, directly or indirectly, with a cumulative value of \$50,000 or more per source during the reporting period. This includes support received by a foundation of the institution or a related entity.

What should be considered a gift or contract?

Foreign Financial Disclosure Report (PAPPG)

* Required

Show All Showing 1 - 1 of 1

Gift or Contract	ID	Date Added	Amount	Compliance Status (Err)	Actions
Gift	294	5/7/2024	\$100,000	No issues found	Edit Gift Details Delete Gift

Show All Showing 1 - 1 of 1

[Return to Report Main Page](#)

Contract Details - 299

Test

Foreign Financial Disclosure Report (PAPPG)

[Save Contract](#) [Add Another Contract](#) [Return to Gifts and Contracts](#) [Delete Contract](#)

* Required

Contract with a Foreign Source

* 1. Contract Amount (in U.S. dollars)

\$500,000

* 2. Contract Start Date (MM/DD/YYYY)

08/08/2023

* 3. Contract End Date (MM/DD/YYYY)

12/31/2023

Type of Foreign Source

* 4. Is the contract from a foreign government, including but not limited to any agency of a foreign government?

No
 Yes (must answer question 9)

* 5. Is the contract with a legal entity, governmental or otherwise, created solely under the laws of a foreign state or states?

No
 Yes (must answer question 10)

* 6. Is the contract with an individual who is not a citizen or a national of the United States or a

Contract Details - 299

Test

Foreign Financial Disclosure Report (PAPPG)

[Save Contract](#) [Add Another Contract](#) [Return to Gifts and Contracts](#) [Delete Contract](#)

* Required

Contract with a Foreign Source

* 1. Contract Amount (in U.S. dollars)

\$500,000

* 2. Contract Start Date (MM/DD/YYYY)

08/08/2023

* 3. Contract End Date (MM/DD/YYYY)

12/31/2023

Type of Foreign Source

* 4. Is the contract from a foreign government, including but not limited to any agency of a foreign government?

No
 Yes (must answer question 9)

* 5. Is the contract with a legal entity, governmental or otherwise, created solely under the laws of a foreign state or states?

No
 Yes (must answer question 10)

* 6. Is the contract with an individual who is not a citizen or a national of the United States or a

The form contains the following error(s) which must be fixed before submitting the report.

- The following required field(s) are missing for **Specific, Repeated or Conditional Contract Provisions: 15. Detailed Description.**



Preparing and Submitting a Positive Report (cont'd)

FFDR Preparers review the Gifts and Contracts table to ensure all required gifts and contracts have been added.

3. Saved gifts and contracts will display in the Gifts and Contracts table. Compliance status for each gift and contract is also shown. (If returning to an in-progress report, navigate to this table by clicking the Manage Gifts or Contracts link in the Actions column of the Foreign Organizations table at the bottom of the report main page.)

- Listed gifts and contracts may be edited or deleted using the links in the Actions column.
- Additional gifts and contracts can be added by using the Add Gift and Add Contract buttons.
- Once all gifts and contracts have been added, click the Return to Report Main Page button to submit the report.

Foreign Source Gifts and Contracts - Test

You must disclose in the report all gifts or contracts received, directly or [indirectly](#), with a cumulative value of \$50,000 or more per source during the reporting period. This includes support received by a foundation of the institution or a related entity.
[What should be considered a gift or contract?](#)

Foreign Financial Disclosure Report (PAPPG)

* Required

Show All

Showing 1 - 2 of 2

Gifts and Contracts **3** Add Gift Add Contract

Gift or Contract	ID	Date Added	Amount	Compliance Status [Key]	Actions
Contract	299	5/7/2024	\$500,000	No issues found	Edit Contract Details Delete Contract
Gift	294	5/7/2024	\$100,000	No issues found	Edit Gift Details Delete Gift

Show All

Showing 1 - 2 of 2

Return to Report Main Page



Preparing and Submitting a Positive Report (cont'd)

FFDR Preparers submit the report

4. Click the Submit Report button to initiate the report submission process.
 - FFDR Preparer reviews report information and then clicks the Next button to proceed.
 - FFDR Preparer certifies and then clicks the Sign and Submit button.
 - Note that submitted reports can be edited in Research.gov after submission and resubmitted until the report due date.

4

Submit 2024 Foreign Financial Disclosure Report

1. Review Report Information **2. Certify and Submit**

Review Report Information

Organization Information

Organization National Science Foundation	Unique Entity Identifier (UEI) GGF7K5B4JSL5
Submitting Foreign Financial Disclosure Report Preparer Alan GS Alphaman	Address 2415 EISENHOWER AVE ALEXANDRIA, VA 22314-4684 US

Report Information

Foreign Source Ownership and Control Organizations	Program Changes
Test	Test

Foreign Source Gifts and Contracts Organizations	Cumulative Total
Test	\$600000

Cancel **Next**

Submit 2024 Foreign Financial Disclosure Report

1. Review Report Information **2. Certify and Submit**

Certify and Submit

*** Required**

Foreign Source Disclosure Report Certification(s)

I certify that, in accordance with Section 10339B, Foreign Financial Disclosure Report, of the CHIPS and Science Act of 2022, (42 U.S.C. § 19040), the organization is herewith reporting all "current financial support, the value of which is \$50,000 or more, including gifts and contracts, received directly or indirectly from a foreign source associated with a foreign country of concern," as defined in the PAPPG Introduction, Section D. Misrepresentations and/or omissions may be subject to prosecution and liability pursuant to, but not limited to, 18 U.S.C. §§ 287, 1001, 1031 and 31 U.S.C. §§ 3729-3733 and 3802.

* I have read and agree to the certifications listed above

By clicking the "Sign and Submit" button you are electronically signing, as well as, submitting your report(s).

Previous **Sign and Submit**



FFDR Post-submission

- Submitted reports are displayed on the FFDR main page
- All FFDR Preparers affiliated with the IHE for the submitted report will receive a system-generated submission confirmation email

Foreign Financial Disclosure Report (FFDR)
CHIPS & Science Act of 2022

i The submission window to report foreign support from countries of concern (see [PAPPG Chapter VII.D.3](#) for additional information) received between July 1, 2023 and June 30, 2024 is **open**. While the PAPPG and Award Terms and Conditions stipulate that the Foreign Financial Disclosure Report (FFDR) is due on July 31, 2024, NSF is providing a one-time grace period for the initial report until **September 3, 2024 at 5:00 p.m.** (based on the submitting organization's local time).

Any NSF recipient of higher education that receives a new award or a funding amendment on an existing award on or after May 20, 2024 is required to submit a Foreign Financial Disclosure Report (FFDR). The FFDR must be submitted to NSF **regardless of whether your organization receives financial support** from a foreign country of concern. For more details, see [Foreign Financial Disclosure Report \(PAPPG\)](#)

Show All Showing 1 - 1 of 1

Foreign Financial Disclosure Report(s) Create Report i					
Year	Organization	Unique Entity Identifier (UEI)	Submitted By	Submitted On	Actions
2024	National Science Foundation View SAM Legal Business Name	GGF7K5B4JSL5	Alan G. Alphaman	5/6/2024	Edit Report View Report

Show All Showing 1 - 1 of 1

Subject: NSF - Submitted: 2024 Foreign Financial Disclosure Report (FFDR)

The Foreign Financial Disclosure Report (FFDR) for **NATIONAL SCIENCE FOUNDATION** has been submitted to NSF. You may edit the report and resubmit to NSF until **September 3, 2024 at 5:00 p.m.** (based on the submitting organization's local time).

Submitted By: Jane Doe

Submitted On: 05/14/2024 4:34 PM EDT

Reporting Period: July 1, 2023 - June 30, 2024

The report can be accessed from your listing of Foreign Financial Disclosure Reports within Research.gov. If needed, you may request an amendment to the report within the FFDR system.

Access the report

Complete your report on the [Foreign Financial Disclosure Report](#) page (sign-in required)

Need Help?

You can find helpful Research.gov information by selecting Help in the top right-hand corner of Research.gov.

For additional assistance, please contact the IT Service Desk at 1 (800) 381-1532 or rgov@nsf.gov.

Please **DO NOT REPLY TO THIS MESSAGE**, as this email was sent from an address that cannot accept incoming messages.



2024 FFDR Email Notifications Summary

- **Initial Email:** Email will be sent on July 1 to all FFDR Preparer(s) affiliated with an IHE required to report (This is 30 days prior to the July 31 FFDR deadline.)
- **Reminder Email:** Email will be sent on July 24 to all FFDR Preparer(s) affiliated with an IHE that has NOT submitted a required report (This is 7 days prior to the July 31 FFDR deadline.)
- **Overdue Emails:** During the grace period in Year 1, overdue emails will be sent to all FFDR Preparers affiliated to an IHE that has NOT submitted a required report on August 1, August 14, and August 28
- **FFDR Deleted Email:** All FFDR Preparers affiliated with the IHE will receive a confirmation email when an in-progress report is deleted
- **FFDR Submitted Email:** All FFDR Preparers affiliated with the IHE will receive a report submission confirmation email



FFDR Portal Key Takeaways

- ✓ All FFDR Preparers in the same IHE can create a report in Research.gov, edit an existing report, or submit a report created by another FFDR preparer
- ✓ Only one FFDR can be submitted in Research.gov per IHE
- ✓ On July 1, 2024, all FFDR Preparers affiliated to an IHE that has received a new award or a funding amendment on an existing award between May 20, 2024, and June 30, 2024, will receive a system-generated email notifying them that the IHE must submit an FFDR for Year 1
- ✓ Every IHE organization must submit a negative or positive report in Research.gov
- ✓ Foreign support received between July 1, 2023, and June 30, 2024, will report in Year 1 submission window which begins on July 1, 2024
- ✓ Once a report is submitted to NSF in Research.gov, it cannot be deleted or withdrawn
- ✓ Submitted report for Year 1 can be edited until September 3, 2024. After September 3, the FFDR Preparer must submit a request to Office of the Chief of Research Security Strategy and Policy Office to amend the submitted report
- ✓ FFDR is specific to financial support received from a foreign country of concern (i.e., People's Republic of China, Democratic People's Republic of Korea, Russian Federation, and Islamic Republic of Iran)
- ✓ If the cumulative value of all gifts and contracts received from a foreign source does not equal or exceed \$50,000, then it is not required to report them in Research.gov



FFDR Future Plans

- Monitor feedback from the research community
- Add “copy” feature to reduce administrative burden for Year 2 and subsequent year reports
- Consider adding capability to download submitted reports
- Enhance post-submission processes (i.e., amendment and extension requests)
- Enhance FFDR functionality based on future PAPPG requirements



Appendix: Other System Enhancements Supporting PAPPG 24-1



Malign Foreign Talent Recruitment Programs Certifications

- New certification in SciENCv for individuals designated as senior/key personnel
 - Certification included on both the Biographical Sketch and on the Current and Pending (Other) Support
- New AOR certification for proposal submission and PI Transfers

AOR Certification:

Procedures Guide, Chapter II.E.9, the organization has a plan in place for this proposal regarding safe and inclusive working environments.

Certification Regarding Malign Foreign Talent Recruitment Programs
By electronically signing the Certification Pages, the Authorized Organizational Representative is certifying that, in accordance with Section 10632 of the CHIPS and Science Act of 2022 (42 U.S.C. 19232), all senior/key personnel associated with the proposal have been made aware of and have complied with their responsibility under that section to certify that they are not a party to a malign foreign talent recruitment program.

* I have read and agree to the certifications listed above



Mentoring Plan Updates

- Per PAPPG 24-1, requirement for a Mentoring Plan submission now includes graduate students
- One-page Mentoring Plan must be uploaded if funds are allocated to Postdoctoral Scholars **and/or** to Graduate Students in the Budget
- “Postdoctoral Mentoring Plan” has been updated to “Mentoring Plan”



New ROA-PUI Supplemental Funding Request Type

- Per PAPPG 24-1, Research Opportunity Awards for Predominantly Undergraduate Institutions (ROA-PUI) is a new proposal type
- ROA-PUI has been added to the Research.gov Supplemental Funding Request System as a selectable option

Research.gov ONLINE GRANTS MANAGEMENT FOR THE NSF COMMUNITY

Welcome Alan Alphaman | Sign Out (Home) | My Profile | Contact | Help | About

Proposals | Reviews & Meetings | Awards & Reporting | Fellowships | Manage Financials | Administration

My Desktop > Supplemental Funding Requests > Prepare New Supplemental Funding Request

Prepare New Supplemental Funding Request

1. Select Award ✓

Select Supplemental Funding Request Type

Which type of Supplemental Funding Request are you preparing?

- Standard Supplemental Funding Request [PAPPG II.VI.E.5](#)
- Career-Life Balance Supplemental Funding Request [PAPPG II.F.13](#)
- Research Opportunity Award for Predominately Undergraduate Institutions (ROA-PUI) [PAPPG II.F.14](#) [Eligibility Guidelines](#)

Eligibility Guidelines

Review the guidelines for the ROA-PUI program to determine if your award meets the criteria for eligibility. You may also reach out to your organization's research or grants office for assistance.

See the program page for Research Opportunity Awards

Previous | Prepare Supplemental Request

Research.gov | National Science Foundation

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New Tribal Nations Checkbox and Upload Section

- New Potential Impacts on Tribal Nations checkbox added to the Cover Sheet in Full Proposals, Renewals, Supplemental Funding Requests (standard and ROA-PUI) and PI Transfers
- If checkbox is selected, user will be required to upload up to two documents per PAPPG 24-1

Other Information

Check appropriate box(es) if this proposal includes any of the items listed below. Some selections may require additional information.

- Beginning Investigator (*Biological Sciences Directorate proposals only*) ⓘ PAPPG II.E.2 [↗](#)
- Disclosure of Lobbying Activities ⓘ About Disclosure of Lobbying Activities PAPPG II.D.1.d [↗](#)
- Proprietary or Privileged Information ⓘ About Proprietary or Privileged Information PAPPG II.E.1 [↗](#) & II.D.1.c [↗](#)
- Special Exception to the Deadline Date Policy ⓘ About Natural or Anthropogenic Events PAPPG I.F.3 [↗](#)
- Historic Places PAPPG II.D.2.vii [↗](#) & XI.J [↗](#)
- Live Vertebrate Animals PAPPG II.E.4 [↗](#)
- Human Subjects PAPPG II.E.5 [↗](#)
- Funding of an International Branch Campus of a U.S. IHE PAPPG I.E.1 [↗](#)
- Funding of a Foreign Organization or Foreign Individual PAPPG I.E.2.c [↗](#)
- International Activities ⓘ PAPPG II.E.8 [↗](#)
- Potential Life Sciences Dual Use Research of Concern ⓘ Details PAPPG II.E.6 [↗](#) & XI.B.5 [↗](#)
- Off-Campus or Off-Site Research PAPPG II.D.1.d (viii) [↗](#) & II.E.9 [↗](#)
- Potential Impacts on Tribal Nations ⓘ About Potential Impacts on Tribal Nations PAPPG II.E.10 [↗](#)

[Save](#) [Preview/Print](#) ⓘ [Cancel](#)



New Products in Project Reports

- Three new products are available in project reports (Annual and Final Annual) with add, update, and delete functions
- New products:
 - Interventions (e.g. clinical or educational)
 - New Business Creations
 - Training and Professional Development Materials or Courses

Product will be displayed in the project report products table:

Product Type	Citation / Description	Product Status	Year	Status	Actions
Other Product <input checked="" type="checkbox"/> Interventions	Interventions (e.g. clinical or educational) Example: description of the intervention typed here.	--	--	✓ Complete	Edit details Delete from Report
Other Product <input checked="" type="checkbox"/> New Business Creations	New Business Creations Example: description of the new business creation typed here.	--	--	✓ Complete	Edit details Delete from Report
Other Product <input checked="" type="checkbox"/> Training and Professional Development Materials or Courses	Training and Professional Development Materials or Courses Example: description of the material or course typed here.	--	--	✓ Complete	Edit details Delete from Report

PAGE: 1 of 1

Show per page



IT Service Desk Reminders & System Maintenance Outages



NSF IT Service Desk Reminders & System Maintenance Outages

For IT system-related and technical questions, please contact the NSF IT Service Desk

- Keep track of your ticket number and save all emails to/from the NSF IT Service Desk about your issue
 - If you email the NSF IT Service Desk, it could take more than one business day for a ticket number depending on NSF IT Service Desk volume
 - If the issue is urgent, NSF recommends calling the NSF IT Service Desk to get a ticket number
- Some issues do not have quick turnaround times to resolve

NSF performs regular system maintenance over the weekends which *may* impact services

- Typical maintenance outage window is Friday night to Saturday morning (usually 8:00 a.m.)
- Sometimes system outage windows must be extended due to unforeseen circumstances
- Weekend outage advisories normally posted at the top of on the [Research.gov](https://www.research.gov) homepage on Wednesday afternoon



The screenshot shows the Research.gov homepage with a maintenance notification banner at the top. The banner contains the following text:

Due to maintenance, Research.gov (including access to GRFP and NSF-PAR) and FastLane will be unavailable on Friday, 5/24 from 12:01 AM ET – 6:00 AM ET. We apologize for any inconvenience.

Below the banner, there are several notifications and a main content area. The main content area features a 'MODERNIZATION' banner with the text: 'Prepare proposals and supplemental funding requests in Research.gov!' and a list of supported proposal and submission types. To the right, there is a 'COVER SHEET FOR PROPOSAL TO THE NATIONAL SCIENCE FOUNDATION' form.



Show all notifications (2 notifications)

Learn how to deposit publications in the NSF Public Access Repository (NSF-PAR). We'll walk you through the process in this short video!

Watch Tutorial Video

Prepare & Submit Proposals
Prepare, submit and check status of proposals

- Prepare new or existing proposals
- Check Proposal Status

FASTLANE PROPOSAL FUNCTIONS

- Additional proposal functions

Proposal/ Panel Review
Review proposals, participate in panels

FASTLANE PROPOSAL REVIEW

- Proposal Review

FASTLANE PANEL REVIEW

- Panelist Functions

Awards & Reporting
Submit project reports, notifications & requests

- Project Reports
- Deposit Public Access Publication
- Example Project Reports (Demo site)
- Notifications & Requests

FASTLANE AWARD FUNCTIONS

- Additional award functions

Fellowships & Honorary Awards
Nominate colleagues, apply for awards

- Graduate Research Fellowship Program (GRFP)
- Postdoctoral Fellowships and Other Programs
- Honorary Awards

Manage Financials
View balances and submit cash requests

- Submit or manage payment transactions
- More about ACMS
- Program Income Reporting

Administration
Manage your account and user roles

- User Management

FASTLANE ORGANIZATION MANAGEMENT

- Research Administration

NSF Award Highlights

- Explore Scholarly p Public Access Re
- Search awards

Research.gov National Science Foundation

About Services
Account Management
Award Cash Management Service (ACMS)
Notifications & Requests
Project Reports
Proposal Status
Public Access

NSF Award Highlights
Research Spending & Results

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Contact Help Desk

News & Discoveries
News
Discoveries
Multimedia Gallery

Funding & Awards
Recently Announced Funding Op
Upcoming Funding Opportun
A-Z Index of Funding Op
Find Funding
Award Search
Proposal &

Feedback

Providing Research.gov Proposal Feedback and Why It's Important

Why?

- Help NSF continue to refine Research.gov proposal preparation and submission
- Help ensure the system is working as intended and identify areas of improvement

How?

- Feedback may be submitted on the Research.gov [Feedback page](#) using the "Prepare and Submit Proposals" drop-down menu option

Research.gov Proposal Preparation Demo Site Tips

- Demo site FAQs are available on the Research.gov [About Proposal Preparation and Submission](#) page via the left navigation bar. How-to guides with step-by-step instructions and screenshots are also available
- The demo site is available both to the research community and to NSF staff
- An orange "Proposal Preparation Demo Site" banner is at the top of each demo site page to indicate the user is on the demo site
- The demo site allows users to prepare and edit proposals before preparing them in the actual Research.gov site
- Demo site proposals are not visible or available in the actual Research.gov Proposal Submission System, and data cannot be transferred between the demo site and the actual proposal system
- All proposal and submission types available in the actual system are also available in the demo site. As proposal and submission types are added to the Research.gov Proposal Submission System, they will also be added to the demo site
- All compliance checks enabled in the actual Research.gov Proposal Submission System are also in the demo site. Proposers can use the demo site as a resource to check document compliance before uploading them in the actual system



Research.gov Proposal Preparation Demo Site Tips (cont'd)

- Proposals created in the demo site will be available for six months and then will be deleted by NSF. Neither NSF nor users will be able to access deleted demo proposal data
- Demo site does not support proposal submission and will not trigger any system-generated email notifications (e.g., link requests for separately submitted collaborative proposals)
- The organization that you are affiliated with in your NSF account profile will be incorporated when you are in the proposal preparation demo site, but the user role(s) that you have will not be available in the demo site
 - All users who access the demo site will be given the role of PI and the mock organization “National Science Foundation”
 - This means that users from the research community will have the organization(s) they are affiliated with in their NSF account profile plus the “National Science Foundation” mock organization as available organizations in the demo site
- The only time other users will be able to view and/or edit any proposals that you create in the demo site is if you add them to the proposal as a co-PI or Other Authorized User (OAU). If you don't add any users to the proposal, no one else will be able to access, view, or edit your demo proposal



Appendix: Grants.gov Proposal Submissions



Grants.gov Proposal Submissions: Grants.gov Confirmation Emails

Example **Grants.gov Confirmation Email #1: Submission Receipt**

[EXTERNAL] - GRANT00783

Grants.gov Submission Receipt

Your application has been received by Grants.gov, and is currently being validated.
Your submission was received at Nov 14, 2022 11:48:29 AM EST

Validation may take up to 2 business days. To check the status of your application please click here https://training.grants.gov/applicants/track-my-application.html?tracking_num

Type: GRANT

Grants.gov Tracking Number: GRANT00783

We will notify you via email when your application has been validated by Grants.gov and is being prepared for Grantor agency retrieval.
UEI: R28EKN92.

AOR name: gi test

Application Name: Accepted Anytime Testing

Opportunity Number: 22-610

Opportunity Name: Condensed Matter and Materials Theory

Thank you.

Grants.gov

If you have questions please contact the Grants.gov Contact Center:

support@grants.gov

1-800-518-4726

24 hours a day, 7 days a week. Closed on federal holidays.

PLEASE NOTE: This email is for notification purposes only. Please do not reply to this email for any purpose.



Grants.gov Proposal Submissions: Grants.gov Confirmation Emails (cont'd)

Example *Grants.gov Confirmation Email #2: Submission Validation Receipt*

[EXTERNAL] - GRANT00:

Grants.gov Submission Validation Receipt for Application

Your application has been received and validated by Grants.gov and is being prepared for Grantor agency retrieval.

UEI: R28EK

AOR name: gi test

Application Name - Accepted Anytime Testing

Opportunity Number: 22-610

Opportunity Name: Condensed Matter and Materials Theory

<https://trainingapply.grants.gov/apply/login.faces?cleanSession=1>

You will be notified via email when your application has been retrieved by Grantor agency.

Thank you.

Grants.gov

If you have questions please contact the Grants.gov Contact Center:

support@grants.gov

1-800-518-4726

24 hours a day, 7 days a week. Closed on federal holidays.

PLEASE NOTE: This email is for notification purposes only. Please do not reply to this email for any purpose.



Grants.gov Proposal Submissions: Grants.gov Confirmation Emails (cont'd)

Example **Grants.gov Confirmation Email #3: Agency Retrieval Receipt of Application**

[EXTERNAL] - GRANT007:

Grants.gov Grantor Agency Retrieval Receipt for Application

Your application has been retrieved by the Grantor agency.

Type: GRANT

Grants.gov Tracking Number: GRANT0078

UEI: R28EKN9.

AOR name: gi test

Application Name: \ - Accepted Anytime Testing

Opportunity Number: 22-610

Opportunity Name: Condensed Matter and Materials Theory

We will notify you via email when your Grantor agency has assigned an Agency Tracking Number to your application.

Thank you.

Grants.gov

If you have questions please contact the Grants.gov Contact Center:

support@grants.gov

1-800-518-4726

24 hours a day, 7 days a week. Closed on federal holidays.

PLEASE NOTE: This email is for notification purposes only. Please do not reply to this email for any purpose.



Grants.gov Proposal Submissions: Grants.gov Confirmation Emails (cont'd)

Example *Grants.gov Confirmation Email #4: Tracking Number Assignment*

[[EXTERNAL] - GRANT00: . **Grants.gov Agency Tracking Number Assignment for Application**

Proposal 230 has been successfully submitted to the National Science Foundation (NSF) via Grants.gov.

Grants.gov ID: GRANT00782413
Grants.gov Submission Date/Time: 10/26/2022 3:36 PM EDT
Proposal Title: GI - Integration: PD 21-178Y 2 Target Dates 10/28/2022 (Target Date) 04/28/2023 (Target Date)
Submission Type: Full Proposal
Received by NSF: 10/26/2022 3:37 PM EDT
Organization: NSF University
SAM Legal Business Name: NSF University

The proposal can be accessed from your listing of submitted proposals within Research.gov. If updates are needed, navigate to the proposal main page and select the "Prepare Proposal File Update/Budget Revision" button to submit changes.

[Access your proposal in Research.gov](#) (sign-in required)

Need Help?
You can find helpful Research.gov information by clicking Help in the top right-hand corner of Research.gov.
For additional assistance, please contact the NSF Help Desk at [1-800-381-1532](tel:1-800-381-1532) or Rgov@nsf.gov.
Please DO NOT REPLY TO THIS MESSAGE, as this email was sent from an address that cannot accept incoming messages.



Grants.gov Proposal Submissions: Research.gov Confirmation Email

Example **Research.gov Email**: Successful Grants.gov Proposal Submission to NSF

Proposal 2300 has been successfully submitted to the National Science Foundation (NSF) via Grants.gov.

Grants.gov ID: GRANT0078

Grants.gov Submission Date/Time: 10/26/2022 3:36 PM EDT

Proposal Title: NSF Proposal

Submission Type: Full Proposal

Received by NSF: 10/26/2022 3:37 PM EDT

Organization: NSF University

SAM Legal Business Name: NSF University

The proposal can be accessed from your listing of submitted proposals within Research.gov. If updates are needed, navigate to the proposal main page and select the "Prepare Proposal File Update/Budget Revision" button to submit changes.

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- ❖ **Email Sender:**
proposalprep@nsf.gov
- ❖ **Email Recipients:** PI,
co-PI, AOR, and SPO



Grants.gov Proposal Submissions: Potential Research.gov Notification Email

Example **Research.gov Email: Proposal Failed Pre-Check Validation**

Proposal Errors Need Correction in your Proposal Preparation Source System

The National Science Foundation (NSF) did **not** receive the proposal you submitted via Grants.gov because it failed initial checks against content quality or completion.

Grants.gov ID: GRANT0078

Submitted via Grants.gov: 11/18/2022 12:25 PM EST

Sign-in to your proposal preparation source system (i.e., [Grants.gov](#), S2S) to access your proposal, resolve all proposal errors listed below, and re-submit the proposal. **Ensure your proposal is successfully submitted via Grants.gov before the 5 p.m local time zone deadline.** NSF may return without review proposals that do not meet NSF proposal preparation requirements and deadlines.

The following **error(s)** must be fixed prior to re-submitting the proposal in your proposal preparation source system:

- The Division/Program entered in the Cover Page form does not correspond to the funding opportunity listed in your proposal. Please correct the Division Code/Program Code in the Cover Page form and re-submit via Grants.gov.

Note: Once your proposal enters Research.gov via Grants.gov, further checks will be run against it.

For additional assistance from Grants.gov, please contact the Grants.gov contact center at 1-800-518-4276 or support@grants.gov.

- ❖ **Email Sender:**
proposalprep@nsf.gov
- ❖ **Email Recipients:** PI,
co-PI, AOR, and SPO

- List of Grants.gov proposal pre-check validations are posted on the NSF.gov [Automated Compliance Checking of NSF Proposals](#) page
- Proposal corrections must be made in source system (Grants.gov or System-to-System) and proposal resubmitted in Grants.gov



Grants.gov Proposal Submissions: Potential Research.gov Notification Email

Example **Research.gov Email: Proposal Failed Post-Check Validation**

Proposal Errors Need Correction in Research.gov

The National Science Foundation (NSF) did **not** receive the proposal you submitted via Grants.gov. The proposal will remain in "In-Progress" status within Research.gov until the following errors are resolved and the proposal is re-submitted through Research.gov.

Grants.gov ID: GRANT007
Grants.gov Submission Date/Time: 11/17/2022 3:58 PM EST
Temporary ID Number: 7
Proposal Title: NSF Proposal
Organization: NSF University
SAM Legal Business Name NSF University

The proposal can be accessed from your listing of in progress proposals within Research.gov. **Ensure your proposal is successfully submitted in Research.gov before the 5 p.m. local time zone deadline.** The SPO can edit the proposal, while the AOR can edit and submit the proposal in Research.gov. NSF may return without review proposals that do not meet NSF proposal preparation requirements and deadlines.

The following **error(s)** must be fixed prior to re-submitting the proposal:
Manage Personnel and Subaward Organizations

- GOALI proposals must have at least one Industrial co-PI associated with the prime organization personnel in the proposal. Add an Industrial co-PI from the proposal's prime organization [Manage Personnel](#) page.

Action Needed: Final Review. To ensure all data submitted via Grants.gov is accurate and complete, review all proposal information prior to submission in Research.gov. This includes all personnel, subaward(s), proposal documents, and budget data.

- Note: Non-PI personnel and budget funds entered for Equipment and Indirect Costs **will not transfer** to Research.gov as they were entered in your proposal preparation source system (Grants.gov, S2S) **and will need to be updated:**
 - Please ensure all personnel are properly assigned in Research.gov by uploading senior personnel documents and adding budget data for each of the non-PI personnel on the proposal. Additionally, please ensure the budget data is entered correctly in the Equipment (Section D) and Indirect Costs (Section I) sections, if applicable, of the budget.

[Access your proposal in Research.gov](#) (sign-in required)

- ❖ **Email Sender:**
proposalprep@nsf.gov
- ❖ **Email Recipients:** PI,
co-PI, AOR, and SPO

- Post-check validations include the Research.gov automated compliance checks for the full proposal submission type posted on the NSF.gov [Automated Compliance Checking of NSF Proposals](#) page
- Proposal corrections must be made in Research.gov and proposal resubmitted in Research.gov

